

Automated Reasoning and Program Verification

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Outline

Satisfiability and Randomisation

Randomly Generated Clause Sets

Sharp Phase Transition

Randomised Algorithms for Satisfiability-Checking

Satisfiability and Randomisation

- ▶ SAT solving of **randomly generated set of clauses** are very hard for DPLL-based SAT solvers;
- ▶ Some **small randomly generated set of clauses** cannot be satisfied by DPLL-based SAT solvers, whereas **random SAT algorithms** can satisfy them;
- ▶ **Small randomly generated set of clauses** are useful for debugging SAT solvers;
- ▶ Experiments show that randomly generated set of clauses become from satisfiable to unsatisfiable in a **very narrow region**.

Random Clause Generation

How can one generate a **random clause**?

Random Clause Generation

How can one generate a random clause?

Let's first generate a **random literal**.

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- ▶ Fix a **number n of boolean variables**;

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- ▶ Select a literal among $p_1, \dots, p_n, \neg p_1, \dots, \neg p_n$ with an equal probability.

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A **random clause** is a collection of random literals.

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- ▶ Fix the length k of the clause;

Random Clause Generation

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Let's first generate a random literal.

A random clause is a collection of random literals.

- ▶ Fix a number n of boolean variables;
- ▶ Select a literal among $p_1, \dots, p_n, \neg p_1, \dots, \neg p_n$ with an equal probability.
- ▶ Fix the length k of the clause;

Suppose we generate random clauses one after one. **How does the set of models of this set change?**

SAT and k -SAT

SAT is the problem of satisfiability checking for sets of clauses.

k -SAT is the problem of satisfiability checking for sets of clauses of length k (k -clauses).

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- ▶ SAT is **NP-complete**;
- ▶ **2-SAT** is decidable in linear time;
- ▶ **3-SAT** is NP-complete.

SAT and k -SAT

SAT is the problem of satisfiability checking for sets of clauses.

k -SAT is the problem of satisfiability checking for sets of clauses of length k (k -clauses).

- ▶ SAT is **NP-complete**;
- ▶ **2-SAT** is decidable in linear time;
- ▶ **3-SAT** is NP-complete.

There is a simple reduction of SAT to **3-SAT** based on the same ideas as used for generating short clausal forms (naming). Take a clause having more than 3 literals:

$$L_1 \vee L_2 \vee L_3 \vee L_4 \dots$$

And replace it by two clauses:

$$\begin{aligned} L_1 \vee L_2 \vee n \\ \neg n \vee L_3 \vee L_4 \dots \end{aligned}$$

where n is a new variable.

Randomly Generated Sets of k-Clauses

Suppose we generate random clauses one after one. How does the set of models of this set change?

Example (obtained by a program) for $n = 5$ and $k = 2$

p_1	p_2	p_3	p_4	p_5	p_1	p_2	p_3	p_4	p_5
0	0	0	0	0	1	0	0	0	0
0	0	0	0	1	1	0	0	0	1
0	0	0	1	0	1	0	0	1	0
0	0	0	1	1	1	0	0	1	1
0	0	1	0	0	1	0	1	0	0
0	0	1	0	1	1	0	1	0	1
0	0	1	1	0	1	0	1	1	0
0	0	1	1	1	1	0	1	1	1
0	1	0	0	0	1	1	0	0	0
0	1	0	0	1	1	1	0	0	1
0	1	0	1	0	1	1	0	1	0
0	1	0	1	1	1	1	0	1	1
0	1	1	0	0	1	1	1	0	0
0	1	1	0	1	1	1	1	0	1
0	1	1	1	0	1	1	1	1	0
0	1	1	1	1	1	1	1	1	1

Number of models: 32

Example (obtained by a program) for $n = 5$ and $k = 2$

$\neg p_2 \vee \neg p_3$

p_1	p_2	p_3	p_4	p_5
0	0	0	0	0
0	0	0	0	1
0	0	0	1	0
0	0	0	1	1
0	0	1	0	0
0	0	1	0	1
0	0	1	1	0
0	0	1	1	1
0	1	0	0	0
0	1	0	0	1
0	1	0	1	0
0	1	0	1	1
0	1	1	0	0
0	1	1	0	1
0	1	1	1	0
0	1	1	1	1

p_1	p_2	p_3	p_4	p_5
1	0	0	0	0
1	0	0	0	1
1	0	0	1	0
1	0	0	1	1
1	0	1	0	0
1	0	1	0	1
1	0	1	1	0
1	0	1	1	1
1	1	0	0	0
1	1	0	0	1
1	1	0	1	0
1	1	0	1	1
1	1	1	0	0
1	1	1	0	1
1	1	1	1	0
1	1	1	1	1

Number of models: 32

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0	1	0	0	0	0
	0	0	0	0	1	1	0	0	0	1
	0	0	0	1	0	1	0	0	1	0
	0	0	0	1	1	1	0	0	1	1
	0	0	1	0	0	1	0	1	0	0
	0	0	1	0	1	1	0	1	0	1
	0	0	1	1	0	1	0	1	1	0
	0	0	1	1	1	1	0	1	1	1
	0	1	0	0	0	1	1	0	0	0
	0	1	0	0	1	1	1	0	0	1
	0	1	0	1	0	1	1	0	1	0
	0	1	0	1	1	1	1	0	1	1

Number of models: 24

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0	1	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1	1	0	0	0	1
	0	0	0	1	0	1	0	0	1	0
	0	0	0	1	1	1	0	0	1	1
	0	0	1	0	0	1	0	1	0	0
	0	0	1	0	1	1	0	1	0	1
	0	0	1	1	0	1	0	1	1	0
	0	0	1	1	1	1	0	1	1	1
	0	1	0	0	0	1	1	0	0	0
	0	1	0	0	1	1	1	0	0	1
	0	1	0	1	0	1	1	0	1	0
	0	1	0	1	1	1	1	0	1	1

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Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0	1	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1	1	0	0	0	1
	0	0	0	1	0	1	0	0	1	0
	0	0	0	1	1	1	0	0	1	1
	0	0	1	0	0	1	0	1	0	0
	0	0	1	0	1	1	0	1	0	1
	0	0	1	1	0	1	0	1	1	0
	0	0	1	1	1	1	0	1	1	1
						1	1	0	0	0
						1	1	0	0	1
						1	1	0	1	0
						1	1	0	1	1

Number of models: 20

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0	1	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1	1	0	0	0	1
$\neg p_2 \vee p_2$	0	0	0	1	0	1	0	0	1	0
	0	0	0	1	1	1	0	0	1	1
	0	0	1	0	0	1	0	1	0	0
	0	0	1	0	1	1	0	1	0	1
	0	0	1	1	0	1	0	1	1	0
	0	0	1	1	1	1	0	1	1	1
						1	1	0	0	0
						1	1	0	0	1
						1	1	0	1	0
						1	1	0	1	1

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Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$	0	0	0	0	0	1	0	0	0	0
$\neg p_2 \vee p_1$	0	0	0	0	1	1	0	0	0	1
$\neg p_2 \vee p_2$	0	0	0	1	0	1	0	0	1	0
$p_1 \vee p_1$	0	0	0	1	1	1	0	0	1	1
	0	0	1	0	0	1	0	1	0	0
	0	0	1	0	1	1	0	1	0	1
	0	0	1	1	0	1	0	1	1	0
	0	0	1	1	1	1	0	1	1	1
						1	1	0	0	0
						1	1	0	0	1
						1	1	0	1	0
						1	1	0	1	1

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Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$					
$\neg p_2 \vee p_1$					
$\neg p_2 \vee p_2$					
$p_1 \vee p_1$					

	p_1	p_2	p_3	p_4	p_5
	1	0	0	0	0
	1	0	0	0	1
	1	0	0	1	0
	1	0	0	1	1
	1	0	1	0	0
	1	0	1	0	1
	1	0	1	1	0
	1	0	1	1	1
	1	1	0	0	0
	1	1	0	0	1
	1	1	0	1	0
	1	1	0	1	1

Number of models: 12

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$					
$\neg p_2 \vee p_1$					
$\neg p_2 \vee p_2$					
$p_1 \vee p_1$					
$\neg p_5 \vee p_5$					

	p_1	p_2	p_3	p_4	p_5
1	0	0	0	0	0
1	0	0	0	0	1
1	0	0	0	1	0
1	0	0	0	1	1
1	0	1	0	0	0
1	0	1	0	0	1
1	0	1	1	1	0
1	0	1	1	1	1
1	1	0	0	0	0
1	1	0	0	0	1
1	1	0	1	1	0
1	1	0	1	1	1

Number of models: 12

Example (obtained by a program) for $n = 5$ and $k = 2$

	p_1	p_2	p_3	p_4	p_5
$\neg p_2 \vee \neg p_3$					
$\neg p_2 \vee p_1$					
$\neg p_2 \vee p_2$					
$p_1 \vee p_1$					
$\neg p_5 \vee p_5$					
$p_4 \vee p_5$					

	p_1	p_2	p_3	p_4	p_5
	1	0	0	0	0
	1	0	0	0	1
	1	0	0	1	0
	1	0	0	1	1
	1	0	1	0	0
	1	0	1	0	1
	1	0	1	1	0
	1	0	1	1	1
	1	1	0	0	0
	1	1	0	0	1
	1	1	0	1	0
	1	1	0	1	1

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Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$						1	0	0	0	1	
$\neg p_2 \vee p_1$						1	0	0	1	0	
$\neg p_2 \vee p_2$						1	0	0	1	1	
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$						1	0	1	0	1	
$p_4 \vee p_5$						1	0	1	1	0	
						1	0	1	1	1	
						1	1	0	0	1	
						1	1	0	1	0	
						1	1	0	1	1	

Number of models: 9

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$							1	0	0	1	0
$\neg p_2 \vee p_2$							1	0	0	1	1
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$							1	0	1	0	1
$p_4 \vee p_5$							1	0	1	1	0
$\neg p_5 \vee \neg p_3$							1	0	1	1	1
							1	1	0	0	1
							1	1	0	1	0
							1	1	0	1	1

Number of models: 9

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$							1	0	0	1	0
$\neg p_2 \vee p_2$							1	0	0	1	1
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$							1	0	1	1	0
$\neg p_5 \vee \neg p_3$											
							1	1	0	0	1
							1	1	0	1	0
							1	1	0	1	1

Number of models: 7

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$							1	0	0	1	0
$\neg p_2 \vee p_2$							1	0	0	1	1
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$							1	0	1	1	0
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$							1	1	0	0	1
							1	1	0	1	0
							1	1	0	1	1

Number of models: 7

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$							1	1	0	0	1
							1	1	0	1	0
							1	1	0	1	1

Number of models: 4

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$							1	1	0	0	1
$p_5 \vee \neg p_2$							1	1	0	1	1

Number of models: 4

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$						1	0	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$						1	1	0	0	0	1
$p_5 \vee \neg p_2$						1	1	0	1	1	

Number of models: 3

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$							1	1	0	0	1
$p_5 \vee \neg p_2$											
$p_5 \vee p_2$							1	1	0	1	1

Number of models: 3

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
$p_5 \vee \neg p_2$											
$p_5 \vee p_2$											

Number of models: 1

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
$p_5 \vee \neg p_2$											
$p_5 \vee p_2$											
$\neg p_1 \vee \neg p_4$											

Number of models: 1

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$							1	0	0	0	1
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
$\neg p_5 \vee p_5$											
$p_4 \vee p_5$											
$\neg p_5 \vee \neg p_3$											
$p_2 \vee \neg p_4$											
$p_5 \vee \neg p_2$											
$p_5 \vee p_2$											
$\neg p_1 \vee \neg p_4$											
$p_5 \vee p_2$											

Number of models: 1

Example (obtained by a program) for $n = 5$ and $k = 2$

	<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>		<u>p_1</u>	<u>p_2</u>	<u>p_3</u>	<u>p_4</u>	<u>p_5</u>
$\neg p_2 \vee \neg p_3$											
$\neg p_2 \vee p_1$											
$\neg p_2 \vee p_2$											
$p_1 \vee p_1$											
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$p_4 \vee p_5$											
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$p_5 \vee p_2$											
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$$p_4 \vee p_5$$

$$\neg p_5 \vee \neg p_3$$

$$p_2 \vee \neg p_4$$

$$p_5 \vee \neg p_2$$

$$p_5 \vee p_2$$

$$\neg p_1 \vee \neg p_4$$

$$p_5 \vee p_2$$

$$\neg p_1 \vee \neg p_5$$

Number of models: 0

This set of 13 clauses is unsatisfiable.

Random Clause Generation

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Exercise: What is the probability that the resulting set is unsatisfiable for $m = 1$ and $m = 2$?

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Note that the probability is a **monotone** function: the more clauses we generate, the higher chance we have that the set is unsatisfiable.

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Fix:

- ▶ Number n of boolean variables;
- ▶ Number k of **literals per clause**, so we will generate k -SAT instances;
- ▶ Real number r : **ratio of clauses per variable**.

Generate $[rn]$ clauses, each one has k literals **randomly generated** among $p_1, \dots, p_n, \neg p_1, \dots, \neg p_n$ with an equal probability.

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- ▶ Number n of boolean variables;
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- ▶ Real number r : **ratio of clauses per variable**.

Generate $\lceil rn \rceil$ clauses, each one has k literals **randomly generated** among $p_1, \dots, p_n, \neg p_1, \dots, \neg p_n$ with an equal probability.

Note that the probability is a **monotone** function: the more clauses we generate, the higher chance we have that the set is unsatisfiable.

Denote by $\pi(r, n)$ the **probability** that a randomly generated set of $\lceil rn \rceil$ k -clauses is unsatisfiable.

Roulette



SAT Roulette



We will generate random instances of 2-SAT with 5-variables.

You will bet on whether the resulting set of clauses is satisfiable or not.

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- ▶ What will you bet on if we generate 5 clauses?
- ▶ What will you bet on if we generate 100 clauses?
- ▶ What will you bet on if we generate 15 clauses?

SAT Roulette

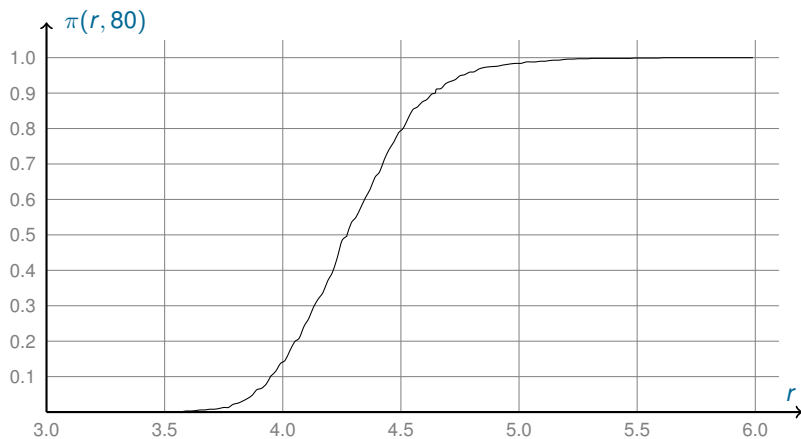


We will generate random instances of 3-SAT with 5-variables.

You will bet on whether the resulting set of clauses is satisfiable or not.

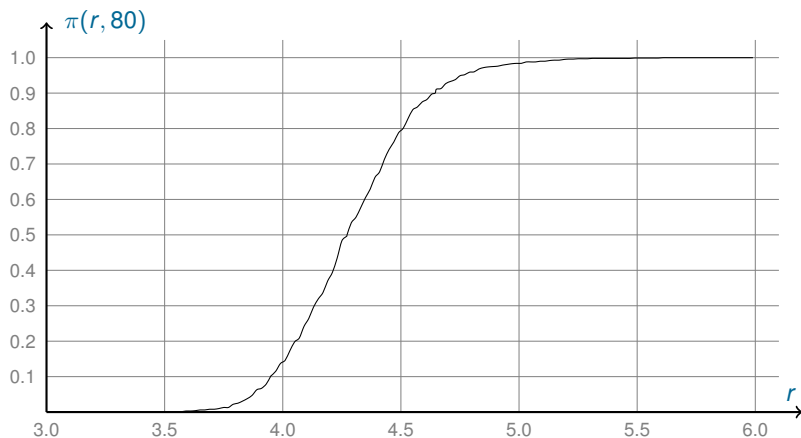
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Probability of obtaining an unsatisfiable set of 3-SAT



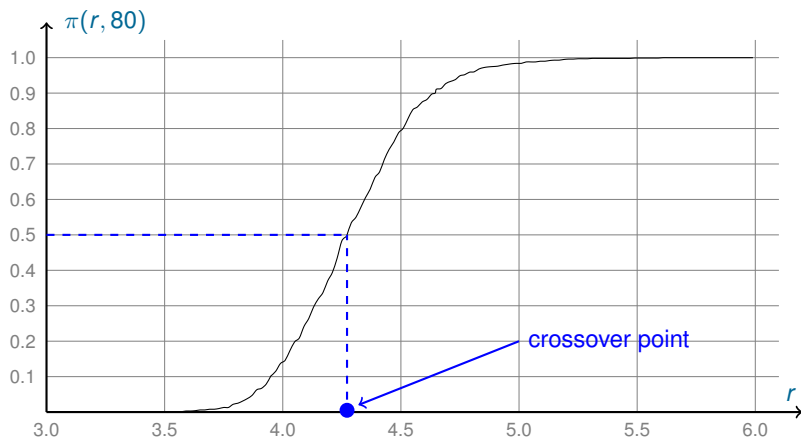
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Crossover point: the value of r at which the probability crosses 0.5.



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Take a (small) number $0 < \epsilon < 0.5$. ϵ -window is the interval of values of r where the probability is between ϵ and $1 - \epsilon$.

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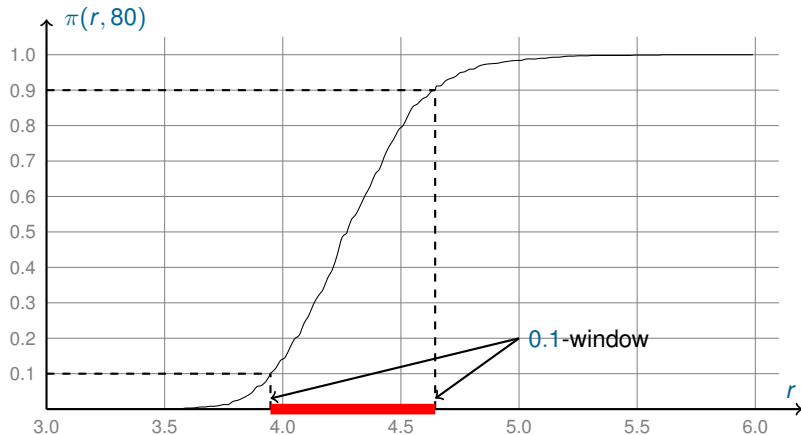
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For example, take $\epsilon = 0.1$.

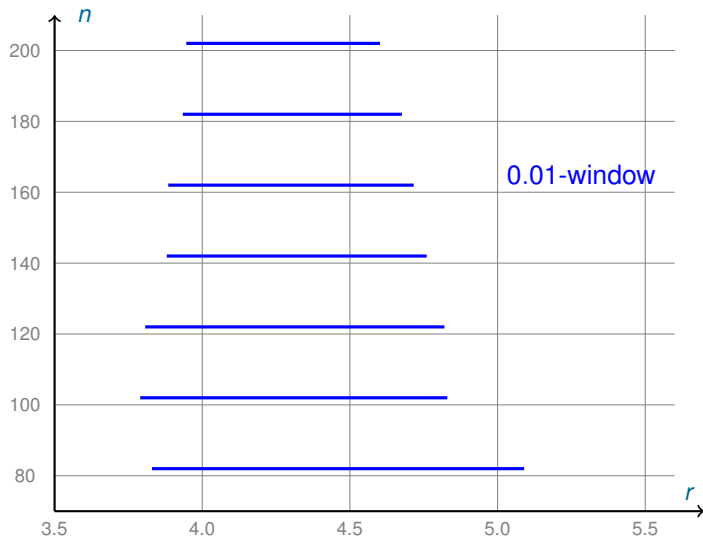
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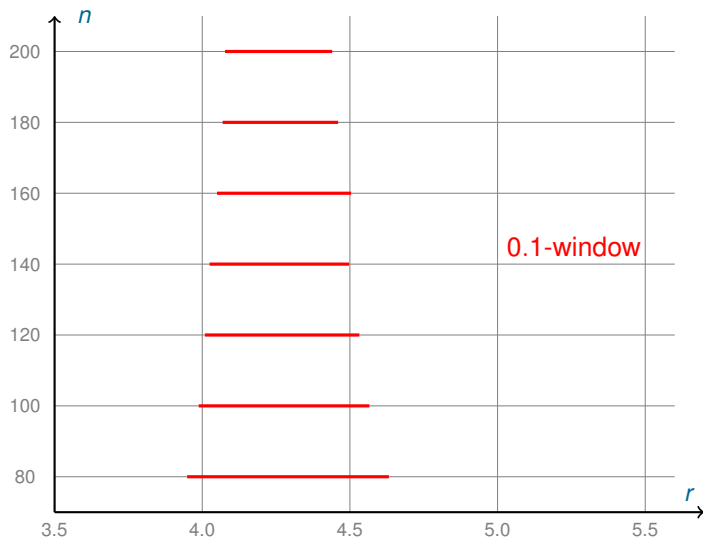
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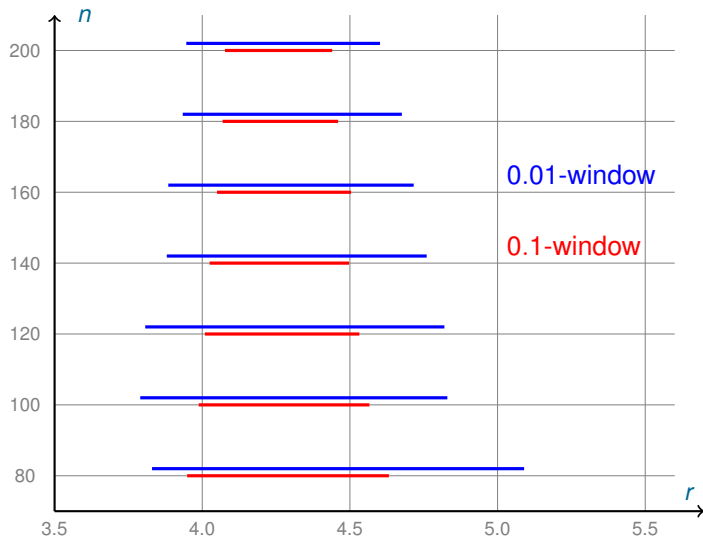
Scaling Window Effect for 3-SAT



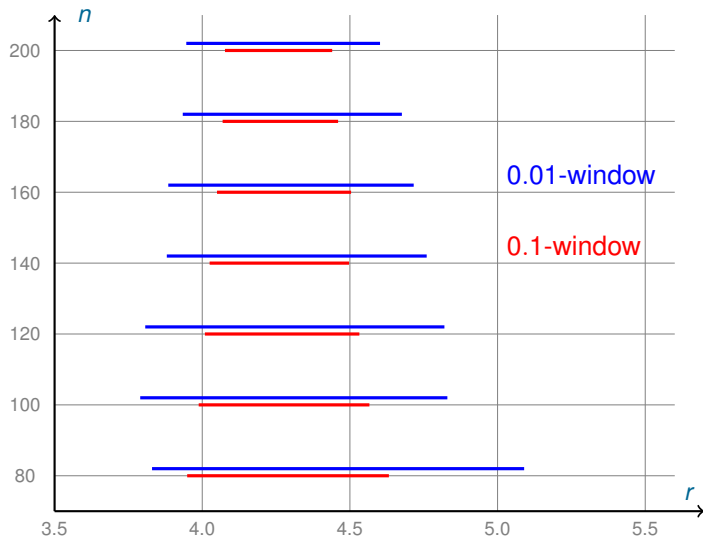
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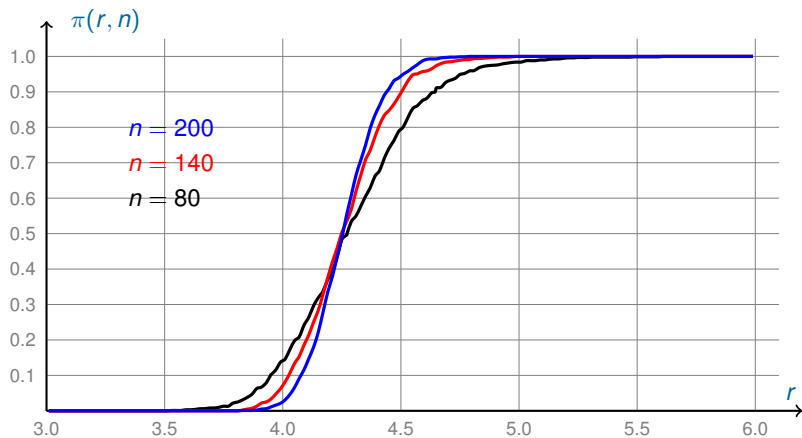


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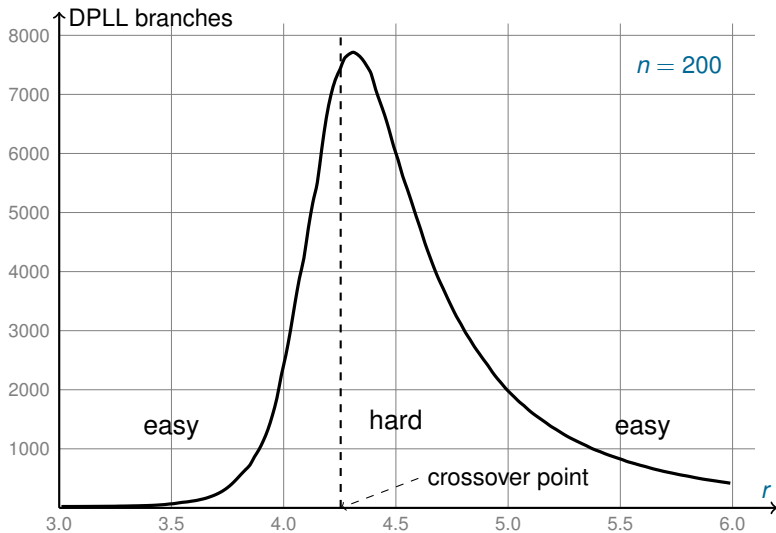


Conjecture: for $n \rightarrow \infty$ every ϵ -window “degenerates into a point”.

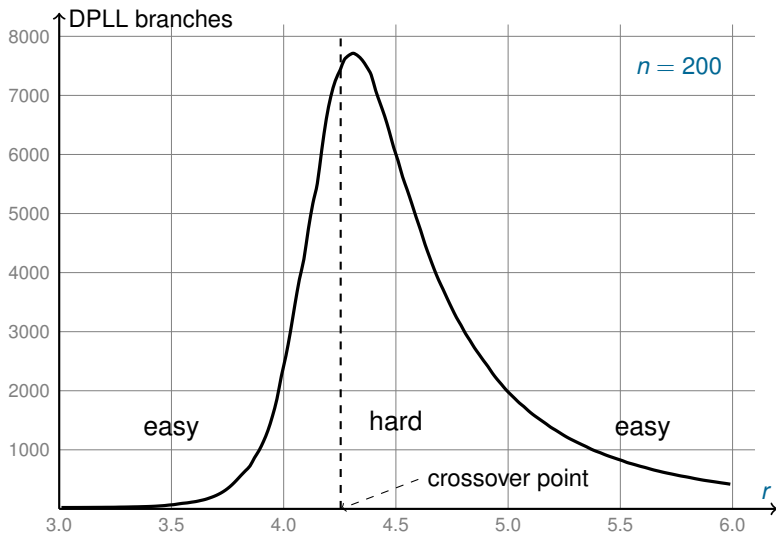
Sharp Phase Transition of $\pi(r, n)$



Easy-Hard-Easy Pattern



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Experiments show that the crossover point for 3-SAT is 4.25.

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procedure *CHAOS*(S)

input: set of clauses S

output: interpretation I such that $I \models S$ or *don't know*

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I := random interpretation

if $I \models S$ **then return** *I*

return *don't know*

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Decision problem: any problem in any infinite domain, that has a **yes-no** answer. Each element of this domain is called an **instance** of this problem.

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Unsatisfiability has **no polynomial-size witnessess**, unless $NP = co - NP$.