

Automated Reasoning and Program Verification

Laura Kovács

TU Vienna

Outline

Propositional Satisfiability Checking

Truth Tables (recap)

Splitting

Positions and subformulas

Truth tables

Consider $\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$.

We can evaluate it in **all** interpretations:

	subformula	l_1	l_2	l_3	l_4	l_5	l_6	l_7	l_8
1	$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$	0	0	0	0	0	0	0	0
2	$(p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r)$	1	1	1	1	1	1	1	1
3	$p \rightarrow r$	1	1	1	1	0	1	0	1
4	$(p \rightarrow q) \wedge (p \wedge q \rightarrow r)$	1	1	1	1	0	0	0	1
5	$p \wedge q \rightarrow r$	1	1	1	1	1	1	0	1
6	$p \rightarrow q$	1	1	1	1	0	0	1	1
7	$p \wedge q$	0	0	0	0	0	0	1	1
8	p	0	0	0	0	1	1	1	1
9	q	0	0	1	1	0	0	1	1
10	r	0	1	0	1	0	1	0	1

The formula is **unsatisfiable** since it is false in every interpretation.

Problem: a formula with n propositional variables has 2^n different interpretations.

Compact truth table (recap)

Idea: we can sometimes evaluate a formula based on values of only a **subset of all variables**.

subformula				l_2	l_3	l_4	l_1
$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$				0	0	0	0
$(p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r)$				1	1	1	1
$p \rightarrow r$				1	0	0	1
$(p \rightarrow q) \wedge (p \wedge q \rightarrow r)$					0	0	
$p \wedge q \rightarrow r$					1	0	1
$p \rightarrow q$					0	1	
$p \wedge q$					0	1	
p	q	p	q	0	1	1	
					0	1	
			r	0	0	0	1
			r				

The formula is **unsatisfiable**.

Note: the size of the compact table (but not the result) depends on the order of atoms!

The ideas of **guessing variable values** (or **case analysis/splitting**) and **propagation** are the key ideas in nearly all propositional satisfiability algorithms.

Decision Procedures for Propositional Satisfiability

More sophisticated decision procedures:

- ▶ Splitting;
- ▶ DPLL;
- ▶ (BDDs – binary decision diagrams);
- ▶ (resolution).

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- ▶ **Splitting**;
- ▶ **DPLL**;
- ▶ (BDDs – binary decision diagrams);
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We start first with the **splitting** method.

Splitting: idea

A_p^\perp and A_p^\top : the formulas obtained by replacing in A all occurrences of p by \perp and \top , respectively.

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Let p be an atom, A be a formula, and I be an interpretation.

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Let p be an atom, A be a formula, and I be an interpretation.

1. If $I \not\models p$, then A is equivalent to A_p^\perp in I .
2. If $I \models p$, then A is equivalent to A_p^\top in I .

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- ▶ Pick a variable p and perform case analysis on this variable:
 - ▶ If p is false, replace p by \perp ;
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- ▶ Pick a variable p and perform case analysis on this variable:
 - ▶ If p is false, replace p by \perp ;
 - ▶ If p is true, replace p by \top .
- ▶ When a formula contains occurrences of \top or \perp , **simplify it**.

Simplification rules for \top and \perp

Note: we need new simplification rules since formulas we simplify may contain propositional variables.

Simplification rules for \top :

$$\begin{aligned}\neg\top &\Rightarrow \perp \\ \top \wedge A_1 \wedge \dots \wedge A_n &\Rightarrow A_1 \wedge \dots \wedge A_n \\ \top \vee A_1 \vee \dots \vee A_n &\Rightarrow \top \\ A \rightarrow \top &\Rightarrow \top & \top \rightarrow A &\Rightarrow A \\ A \leftrightarrow \top &\Rightarrow A & \top \leftrightarrow A &\Rightarrow A\end{aligned}$$

Simplification rules for \perp :

$$\begin{aligned}\neg\perp &\Rightarrow \top \\ \perp \wedge A_1 \wedge \dots \wedge A_n &\Rightarrow \perp \\ \perp \vee A_1 \vee \dots \vee A_n &\Rightarrow A_1 \vee \dots \vee A_n \\ A \rightarrow \perp &\Rightarrow \neg A & \perp \rightarrow A &\Rightarrow \top \\ A \leftrightarrow \perp &\Rightarrow \neg A & \perp \leftrightarrow A &\Rightarrow \neg A\end{aligned}$$

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Note that they cover **all cases** when \perp or \top occurs in the formula apart from the trivial ones.

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Simplification rules for \perp :

$$\begin{aligned}\neg\perp &\Rightarrow \top \\ \perp \wedge A_1 \wedge \dots \wedge A_n &\Rightarrow \perp \\ \perp \vee A_1 \vee \dots \vee A_n &\Rightarrow A_1 \vee \dots \vee A_n \\ A \rightarrow \perp &\Rightarrow \neg A & \perp \rightarrow A &\Rightarrow \top \\ A \leftrightarrow \perp &\Rightarrow \neg A & \perp \leftrightarrow A &\Rightarrow \neg A\end{aligned}$$

Note that they cover all cases when \perp or \top occurs in the formula apart from the trivial ones.

Thus, if we apply these rules until they are no more applicable we obtain either \perp , or \top , or a formula containing neither \perp nor \top .

Splitting algorithm

```
procedure split( $G$ )  
parameters: function select  
input: formula  $G$   
output: “satisfiable” or “unsatisfiable”  
begin  
   $G := \text{simplify}(G)$   
  if  $G = \top$  then return “satisfiable”  
  if  $G = \perp$  then return “unsatisfiable”  
   $(p, b) := \text{select}(G)$   
  case  $b$  of  
    1  $\Rightarrow$   
      if  $\text{split}(G_p^\top) = \text{“satisfiable”}$   
        then return “satisfiable”  
      else return  $\text{split}(G_p^\perp)$   
    0  $\Rightarrow$   
      if  $\text{split}(G_p^\perp) = \text{“satisfiable”}$   
        then return “satisfiable”  
      else return  $\text{split}(G_p^\top)$   
end
```

Splitting algorithm, example, splitting tree

$$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$$

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$$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$$

$q=0$

$$\neg((p \rightarrow \perp) \wedge (p \wedge \perp \rightarrow r) \rightarrow (p \rightarrow r))$$

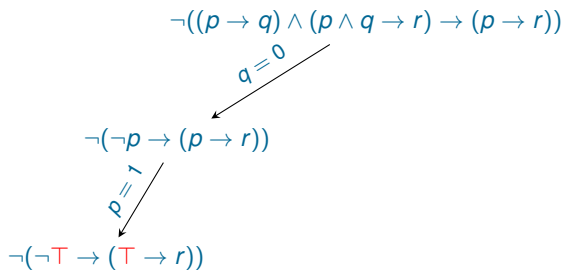
Splitting algorithm, example, splitting tree

$$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$$

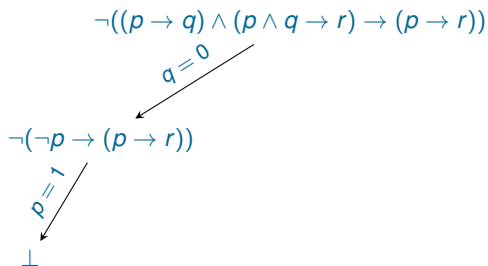
$$q=0$$

$$\neg(\neg p \rightarrow (p \rightarrow r))$$

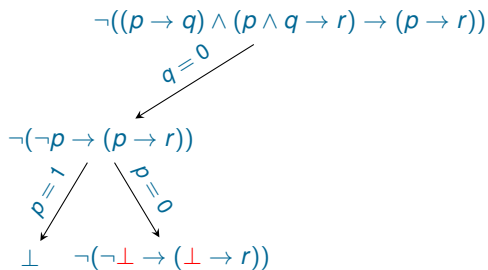
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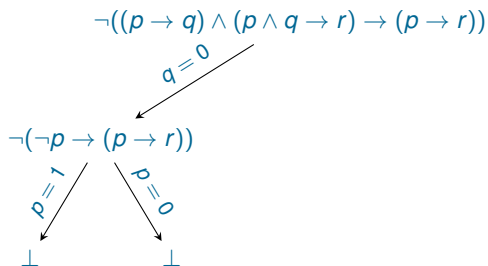
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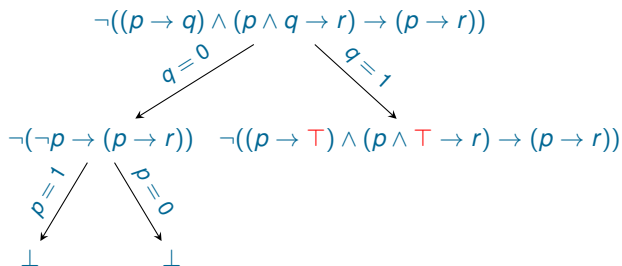
Splitting algorithm, example, splitting tree



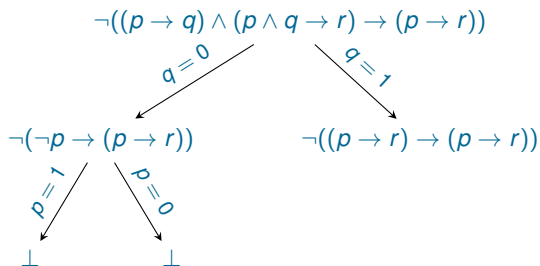
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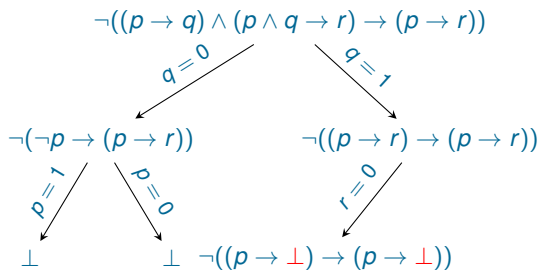
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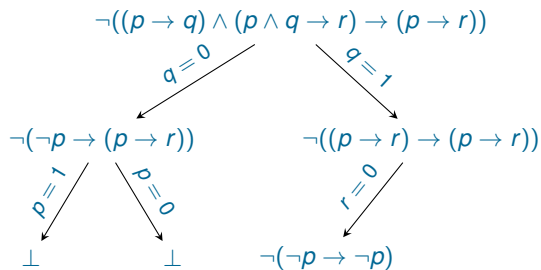
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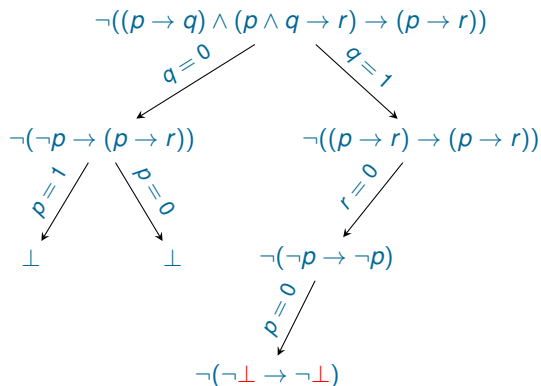
Splitting algorithm, example, splitting tree



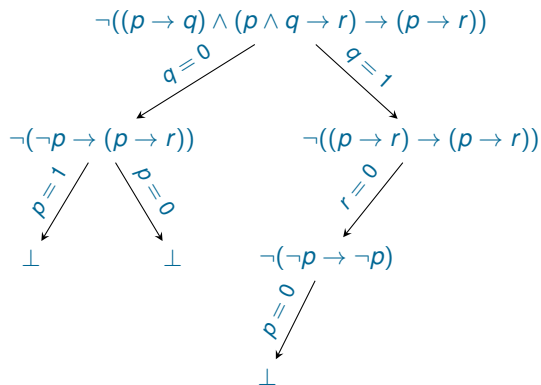
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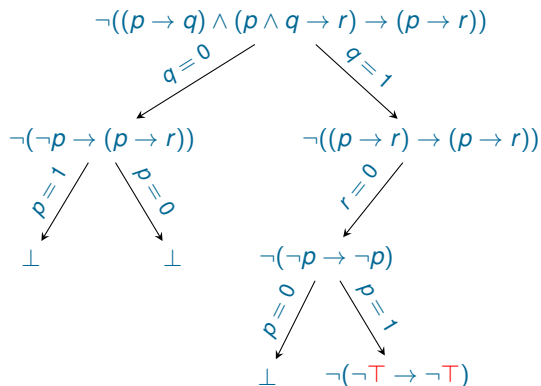
Splitting algorithm, example, splitting tree



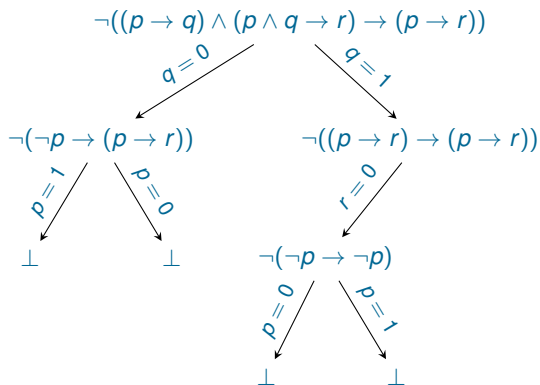
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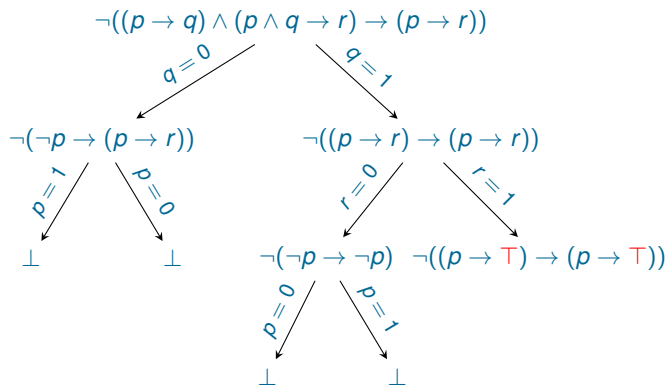
Splitting algorithm, example, splitting tree



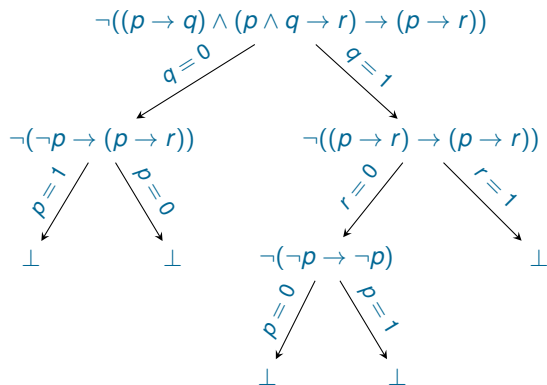
Splitting algorithm, example, splitting tree



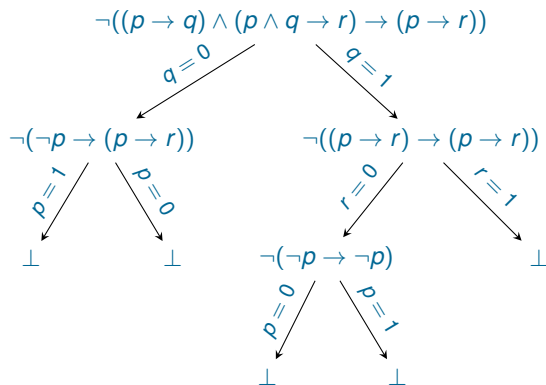
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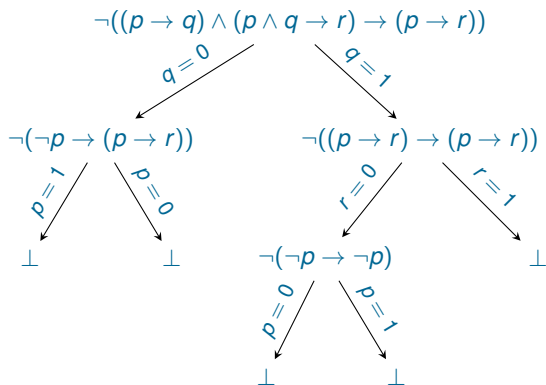


Splitting algorithm, example, splitting tree



The formula is **unsatisfiable**.

Splitting algorithm, example, splitting tree



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What is going on here is very similar to using compact truth tables, but on the syntactic level.

Splitting algorithm, example 2

$$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))$$

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$$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))$$

$p=0$

$$\neg((\perp \rightarrow q) \wedge (\perp \wedge \neg q \rightarrow r) \rightarrow (\neg \perp \rightarrow r))$$

Splitting algorithm, example 2

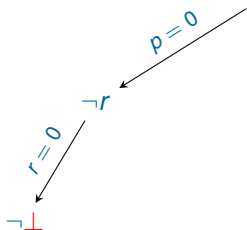
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$p=0$

$$\neg r$$

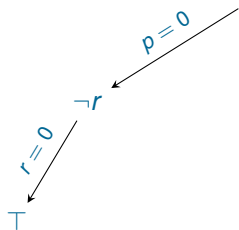
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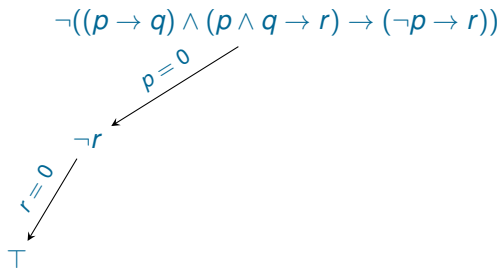


Splitting algorithm, example 2

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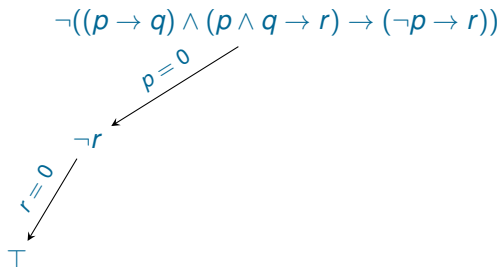


Splitting algorithm, example 2



The formula is **satisfiable**.

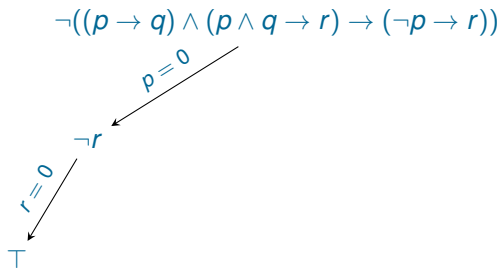
Splitting algorithm, example 2



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To **find a model** of this formula, we should simply collect choices made on the branch terminating at \top .

Splitting algorithm, example 2



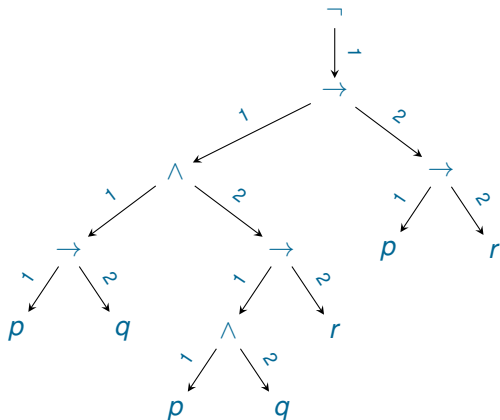
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To **find a model** of this formula, we should simply collect choices made on the branch terminating at \top .

Any interpretation I such that $I(p) = I(r) = 0$ satisfies the formula, for example the interpretation $\{p \mapsto 0, q \mapsto 0, r \mapsto 0\}$.

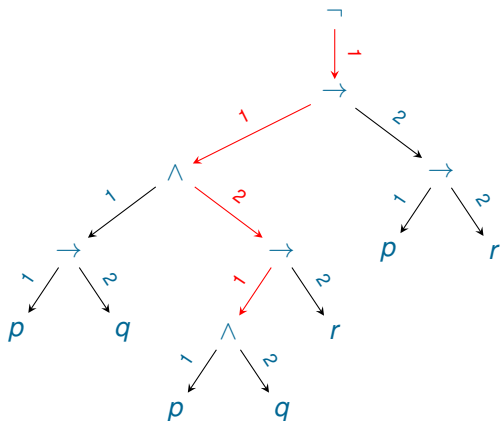
Parse tree

$$A \stackrel{\text{def}}{=} \neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r)).$$



Parse tree

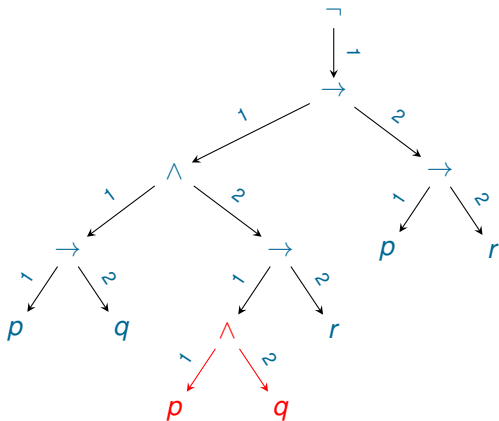
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Parse tree

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- ▶ Position in the formula: 1.1.2.1;
- ▶ Subformula at this position: $p \wedge q$.

Positions and Subformulas

- ▶ **Position** is any sequence of positive integers a_1, \dots, a_n , where $n \geq 0$, written as $a_1.a_2.\dots.a_n$.
- ▶ **Empty position**, denoted by ϵ : when $n = 0$.
- ▶ **Position π in a formula A , subformula at a position**, denoted $A|_\pi$.

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- ▶ **Position π in a formula A , subformula at a position**, denoted $A|_\pi$.

1. For every formula A , ϵ is a position in A and $A|_\epsilon \stackrel{\text{def}}{=} A$.

2. Let $A|_\pi = B$.

2.1 If B has the form $B_1 \wedge \dots \wedge B_n$ or $B_1 \vee \dots \vee B_n$, then for all $i \in \{1, \dots, n\}$ the position $\pi.i$ is a position in A , $A|_{\pi.i} \stackrel{\text{def}}{=} B_i$.

2.2 If B has the form $\neg B_1$, then $\pi.1$ is a position in A , $A|_{\pi.1} \stackrel{\text{def}}{=} B_1$.

2.3 If B has the form $B_1 \rightarrow B_2$, then $\pi.1$ and $\pi.2$ are positions in A and we have $A|_{\pi.1} \stackrel{\text{def}}{=} B_1$, $A|_{\pi.2} \stackrel{\text{def}}{=} B_2$;

2.4 If B has the form $B_1 \leftrightarrow B_2$, then $\pi.1$ and $\pi.2$ are positions in A and $A|_{\pi.i} \stackrel{\text{def}}{=} B_i$.

If $A|_\pi = B$, we also say that B occurs in A at the position π .

Polarity

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Polarity of subformula at a position. Notation: $pol(A, \pi)$.

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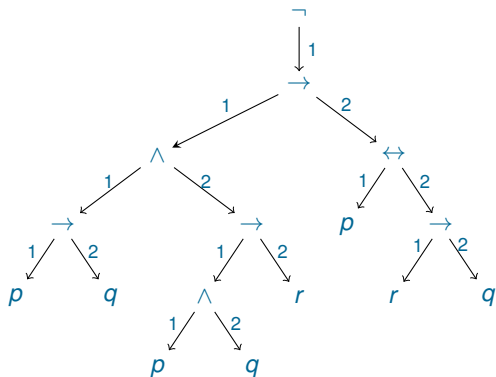
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 - 2.4 If B has the form $B_1 \leftrightarrow B_2$, then $\pi.1$ and $\pi.2$ are positions in A and $A|_{\pi.i} \stackrel{\text{def}}{=} B_i$ and $pol(A, \pi.i) \stackrel{\text{def}}{=} 0$ for $i = 1, 2$.
- ▶ If $pol(A, \pi) = 1$ and $A|_{\pi} = B$, then we call the occurrence of B at the position π in A **positive**.
 - ▶ If $pol(A, \pi) = -1$ and $A|_{\pi} = B$, then we call the occurrence of B at the position π in A **negative**.

The coloring algorithm for determining polarity

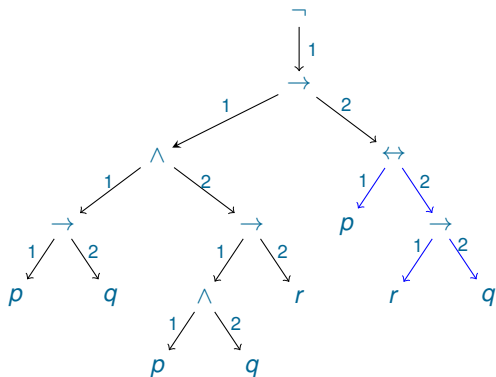
$$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \leftrightarrow (r \rightarrow q))).$$



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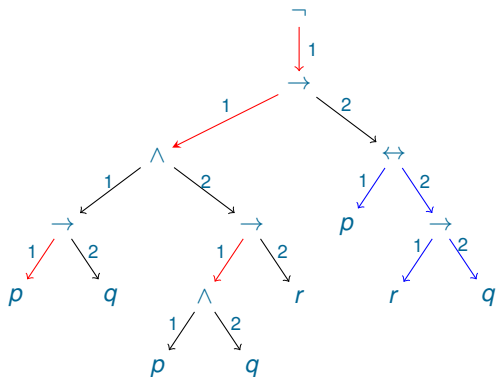
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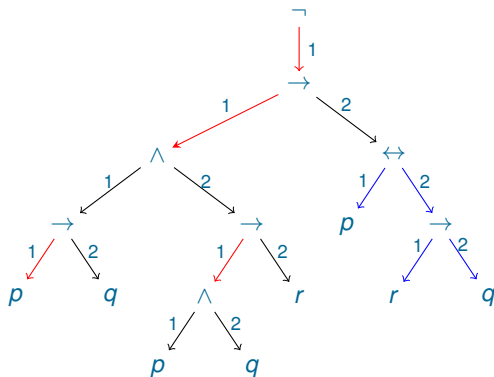
- ▶ Color in **blue** all arcs below an equivalence.
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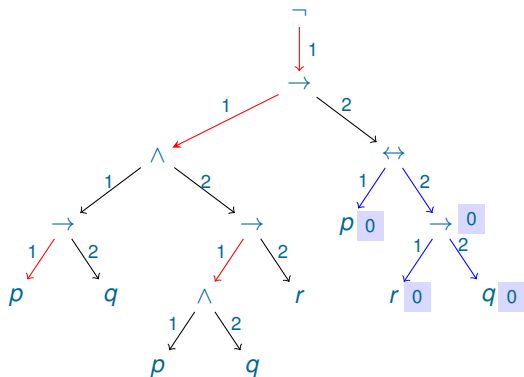


- ▶ If a position has **at least one blue arc** above it, its polarity is **0**.

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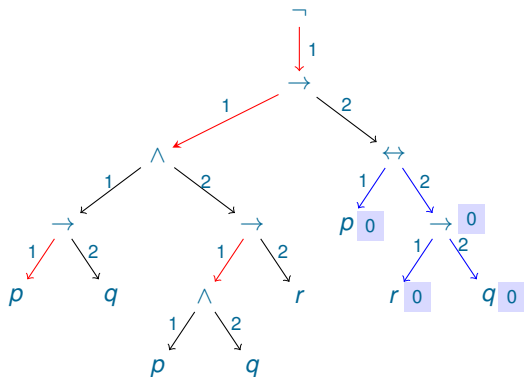


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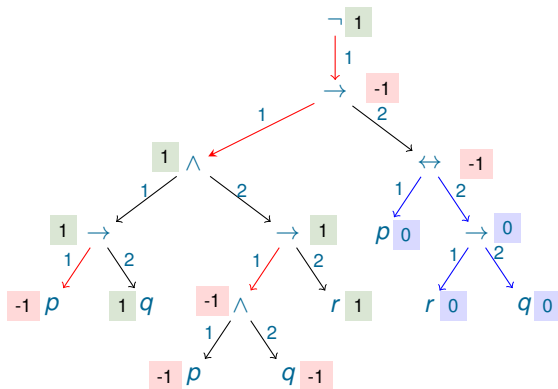


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Position and polarity, again

position	subformula	polarity
ϵ	$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r))$	1
1	$(p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (p \rightarrow r)$	-1
1.1	$(p \rightarrow q) \wedge (p \wedge q \rightarrow r)$	1
1.1.1	$p \rightarrow q$	1
1.1.1.1	p	-1
1.1.1.2	q	1
1.1.2	$p \wedge q \rightarrow r$	1
1.1.2.1	$p \wedge q$	-1
1.1.2.1.1	p	-1
1.1.2.1.2	q	-1
1.1.2.2	r	1
1.2	$p \rightarrow r$	-1
1.2.1	p	1
1.2.2	r	-1

Monotonic replacement

Notation: $A[B]_{\pi}$:

- ▶ formula A with the subformula B at the position π ;
- ▶ formula A with the subformula at the position π replaced by B .

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Lemma (Monotonic Replacement)

Let A, B, B' be formulas, I be an interpretation, and $I \models B \rightarrow B'$. If $pol(A, \pi) = 1$, then $I \models A[B]_{\pi} \rightarrow A[B']_{\pi}$. Likewise, if $pol(A, \pi) = -1$, then $I \models A[B']_{\pi} \rightarrow A[B]_{\pi}$.

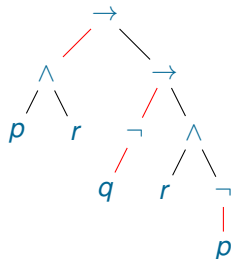
Pure Atom

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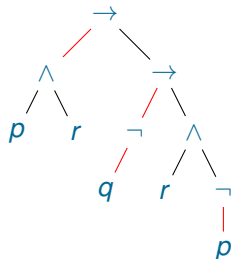
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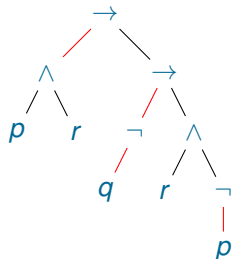


- ▶ Both occurrences of p are negative, so p is pure.

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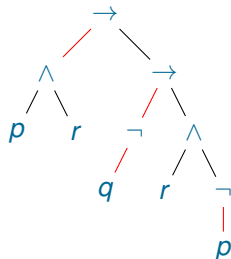


- ▶ Both occurrences of p are negative, so p is pure.
- ▶ The only occurrence of q is positive, so q is pure.

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Atom p is **pure in a formula** A , if either all occurrences of p in A are positive or all occurrences of p in A are negative.

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- ▶ Both occurrences of p are negative, so p is pure.
- ▶ The only occurrence of q is positive, so q is pure.
- ▶ r is not pure, since it has both negative and positive occurrences.

Properties of Pure Atoms

Lemma (Pure Atom)

Let p has only positive occurrences in A and $I \models A$. Define

$$I' \stackrel{\text{def}}{=} I + (p \mapsto 1)$$

Then $I' \models A$.

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Theorem (Pure Atom)

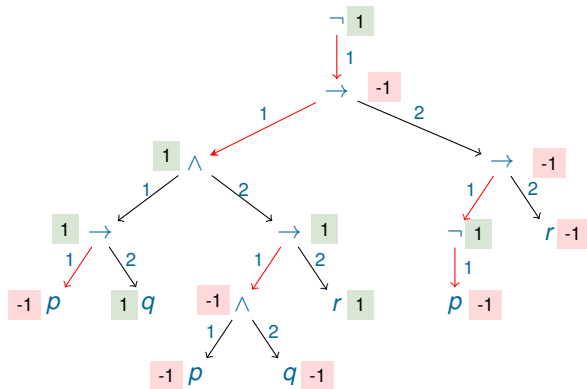
Let an atom p has only *positive* (respectively, only *negative*) occurrences in A . Then A is satisfiable if and only if so is A_p^T (respectively, A_p^\perp).

Pure atom, example

Consider $\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))$.

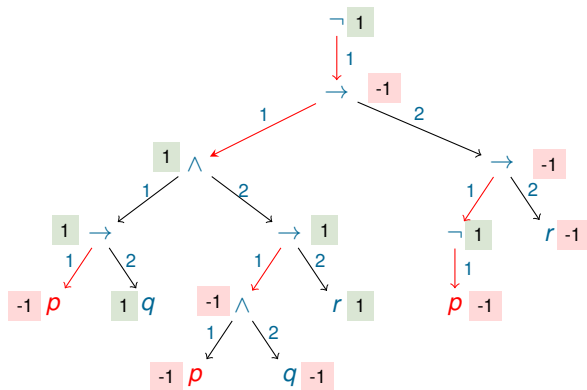
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Pure atom, example

Consider $\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))$.



All occurrences of p are negative, so, for the purpose of checking satisfiability we can replace p by \perp .

Example, continued

$$\neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r))$$

All occurrences of p are negative

Example, continued

$$\begin{aligned} & \neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) \quad \Rightarrow \\ & \neg((\perp \rightarrow q) \wedge (\perp \wedge q \rightarrow r) \rightarrow (\neg \perp \rightarrow r)) \end{aligned}$$

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Example, continued

$$\begin{aligned} & \neg((p \rightarrow q) \wedge (p \wedge q \rightarrow r) \rightarrow (\neg p \rightarrow r)) \quad \Rightarrow \\ & \neg((\perp \rightarrow q) \wedge (\perp \wedge q \rightarrow r) \rightarrow (\neg \perp \rightarrow r)) \quad \Rightarrow \\ & \quad \neg(\top \wedge (\perp \wedge q \rightarrow r) \rightarrow (\neg \perp \rightarrow r)) \end{aligned}$$

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All occurrences of r are negative, so, for the purpose of checking satisfiability we can **replace r by \perp** .

Example, continued

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We have shown satisfiability of this formula deterministically, using only the pure atom rule.