

# Fortgeschrittene funktionale Programmierung

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# Lecture 4

## Part IV: Advanced Language Concepts

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  - + Chap. 12.8: Recommended Reading: Basic, Advanced
- Chapter 13: Arrows
  - + Chap. 13.7: Recommended Reading: Basic, Advanced

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# Chapter 12

## Monads

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# Chapter 12.1

## Motivation

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# Monad: The Mystic Type Constructor Class

```
class Monad m where
  (>>=) :: m a -> (a -> m b) -> m b
  ...
```

...is there any reason for the *mystic aura* around *monads*?

Compare *monad* with other type constructor classes:

```
class Functor f where
  fmap :: (a -> b) -> f a -> f b
```

```
class (Functor f) => Applicative f where
  pure  :: a -> f a
  (<*>) :: f (a -> b) -> f a -> f b
```

# Monad: The Mystic Type Constructor Class

```
class Monad m where
  (>>=)  :: m a -> (a -> m b) -> m b
  return :: a -> m a
  (>>)   :: m a -> m b -> m b
  fail   :: String -> m a
  c >> k = c >>= \_ -> k
  fail s = error s
```

For comparison repeated:

```
class Functor f where
  fmap :: (a -> b) -> f a -> f b

class (Functor f) => Applicative f where
  pure  :: a -> f a
  (<*>) :: f (a -> b) -> f a -> f b
```



# Does the Name Itself

...give reason for a **kind of mysticism?**

**Monad**, derived from Greek *monas*, means:

- **unit, unity** (in German: **Eins, Einheit**).

# Does the Usage of Monads

...(in other fields) give reason for a kind of mysticism?

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# Monads in Philosophy

Gottfried Wilhelm Leibniz (\* 1646 in Leipzig; † 1716 in Hannover) used the **monad** notion as a counterpart of

- ‘atom’ denoting just as atom ‘something indivisible’

to ‘solve’ (more accurate possibly: tackle) the so-called

- **body-soul problem** (in German: **Leib-Seele-Problem**)

evolving from the **body-soul dualism** in the the classical formulation of **René Descartes** (\* 1596 in La Haye 50 km south of Tours, today Descartes; † 1650 in Stockholm).

# Monads in Category Theory

Eugenio Moggi introduced the **monad** notion to

- category theory

and used it for describing the

- semantics of programming languages.

in the realm of

- programming languages theory.



Eugenio Moggi. **Computational Lambda Calculus and Monads**. In Proceedings of the 4th Annual IEEE Symposium on Logic in Computer Science (LICS'89), 14-23, 1989.

# Monads in Philosophy and Category Theory

## Monads in Leibniz' Philosophy:

### Definition (Gottfried Wilhelm Leibniz, 1714)

[Monadology, Paragraph 1]: The **monad** we want to talk about here is nothing else as a simple substance (German: Substanz), which is contained in the composite matter (German: Zusammengesetztes); simple means as much as: to be without parts.

## Monads in Category Theory (cf. Saunders Mac Lane, 1971):

### Definition (Eugenio Moggi, 1989)

[LICS'89]: A **monad over a category  $\mathcal{C}$**  is a triple  $(T, \eta, \mu)$ , where  $T : \mathcal{C} \rightarrow \mathcal{C}$  is a functor,  $\eta : Id_{\mathcal{C}} \rightarrow T$  and  $\mu : T^2 \rightarrow T$  are natural transformations and the following equations hold:

$$\begin{aligned}\mu_{TA}; \mu_A &= T(\mu_a); \mu_A \\ \eta_{TA}; \mu_A &= id_{TA} = T(\eta_A); \mu_A\end{aligned}$$

... "a monad is a monoid in the category of endofunctors."

# Monads in Functional Programming

...the **monad** notion became particularly popular in the field of **functional programming** (Philip Wadler, 1992) because (**Has-kell-style**) **monads**

- allow to introduce some useful **aspects of imperative programming** such as sequencing into functional programming
- are well suited to smoothly integrate **input/output** into functional programming, as well as many other programming tasks and domains
- provide a suitable **interface** between **functional programming** and **programming paradigms with side effects**, in particular, imperative and object-oriented programming

...**without breaking** the **functional paradigm!**

# These Capabilities let Monads

...appear to be a **Suisse Knife** of **Functional Programming!**

**Monadic programming** seems/is perfect for problems involving:

- **Global state**
  - Updating data during computation is often simpler than making all data dependencies explicit (the **state monad**).
- **Huge data structures**
  - No need for replicating a data structure that is not needed otherwise.
- **Exception and error handling**
  - The **Maybe monad**.
- ...
- **Side-effects, explicit sequencing and evaluation orders**
  - Canonical scenario: **Input/output operations** (the **IO monad**).

# Good to Know

...the **monad** notion in **functional programming** lost its links to those in **philosophy** and **category theory** (almost) completely if there have been ever any tied ones, and hence, everything which might or might be considered a mystery or a miracle.

Rather than introducing a mystery, **monads** and **monadic programming** close a 'functional gap' between

- **function application**
- **sequential function composition**
- **functorial mapping**



# Comparing Functorial and Monadic Mapping

## ► Functorial mapping:

```
fmap :: (Functor f) => (a -> b) -> f a -> f b  
fmap k c = ... "(unpack, map, pack)"
```

```
(<*>) :: (Applicative f) => f (a -> b) -> f a -> f b  
(<*>) k c = ... "(unpack, unpack, map, pack)"
```

## ► Monadic mapping and sequencing:

```
(>>=) :: (Monad m) => m a -> (a -> m b) -> m b  
(>>=) c k = ... "(unpack, map, repeat >>=)"
```

# Why and How Monadic Sequencing? (1)

The associativity of ( $\gg=$ ) allows writing

$(((((c \gg= k) \gg= k1) \gg= k2) \gg= k3) \gg= k4)$

more concisely:

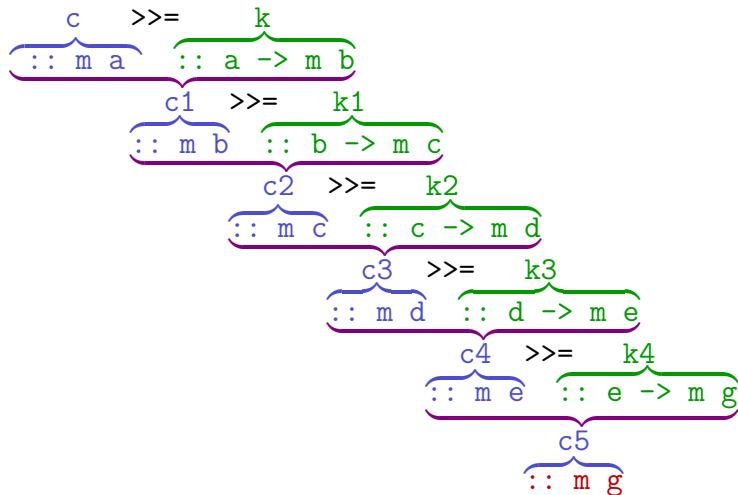
$c \gg= k \gg= k1 \gg= k2 \gg= k3 \gg= k4$

Double-checking types yields:

$c \gg= k \gg= k1 \gg= k2 \gg= k3 \gg= k4$   
 $:: m a :: a \rightarrow m b :: b \rightarrow m c :: c \rightarrow m d :: d \rightarrow m e :: e \rightarrow m g$

$:: m b$   
 $:: m c$   
 $:: m d$   
 $:: m e$   
 $:: m g$

## Why and How Monadic Sequencing? (2)

$$\underbrace{c}_{:: m a} \gg= \underbrace{k}_{:: a \rightarrow m b} \gg= \underbrace{k1}_{:: b \rightarrow m c} \gg= \underbrace{k2}_{:: c \rightarrow m d} \gg= \underbrace{k3}_{:: d \rightarrow m e} \gg= \underbrace{k4}_{:: e \rightarrow m g} :: m g$$


# Why and How Monadic Sequencing? (3)

$c \gg= k \gg= k1 \gg= k2 \gg= k3 \gg= k4 \text{ :: } m \ g$

$\underbrace{c}_{\text{:: } m \ a} \quad \underbrace{\gg= \ k}_{\text{:: } a \rightarrow m \ b} \quad \underbrace{\gg= \ k1}_{\text{:: } b \rightarrow m \ c} \quad \underbrace{\gg= \ k2}_{\text{:: } c \rightarrow m \ d} \quad \underbrace{\gg= \ k3}_{\text{:: } d \rightarrow m \ e} \quad \underbrace{\gg= \ k4}_{\text{:: } e \rightarrow m \ g}$

$c \gg= k \gg= k1 \gg= k2 \gg= k3 \gg= k4$   
 $\text{-}>> \ c1 \gg= k1 \gg= k2 \gg= k3 \gg= k4$   
 $\text{-}>> \ c2 \gg= k2 \gg= k3 \gg= k4$   
 $\text{-}>> \ c3 \gg= k3 \gg= k4$   
 $\text{-}>> \ c4 \gg= k4$   
 $\text{-}>> \ c5 \text{ :: } m \ g$

# Why so Differently?

...why do functional composition and monadic sequencing look so differently?

## Functional Composition:

$$\begin{aligned} (\cdot) &:: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c) \\ (g \cdot f) \ x &= g \ (f \ x) \quad \text{-- } (g \cdot f) = \lambda y \rightarrow g \ (f \ y) \end{aligned}$$

## Monadic Sequencing:

$$\begin{aligned} (>>=) &:: (\text{Monad } m) \Rightarrow m \ a \rightarrow (a \rightarrow m \ b) \rightarrow m \ b \\ (>>=) \ c \ k &= k \ \text{"unpack } c\text{"} \quad \text{-- pseudo code} \end{aligned}$$

Or (using infix notation):

$$\begin{aligned} (>>=) &:: (\text{Monad } m) \Rightarrow m \ a \rightarrow (a \rightarrow m \ b) \rightarrow m \ b \\ c \ >>= \ k &= k \ \text{"unpack } c\text{"} \quad \text{-- pseudo code} \end{aligned}$$

# This Different Appearance is an Artifact!

The standard operator  $(.)$  for function composition:

$$(.) :: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c)$$

$$(g . f) x = g (f x)$$

...enables sequences of function applications applied [R2L](#):

$$(k . (\dots . (h . (g . f)) \dots)) x \\ \rightarrow\rightarrow k (\dots (h (g (f x)))) \dots)$$

We can define a dual operator  $(;)$  for function composition:

$$(; ) :: (a \rightarrow b) \rightarrow (b \rightarrow c) \rightarrow (a \rightarrow c)$$

$$(f ; g) = (g . f)$$

...enabling sequences of function applications applied [L2R](#):

$$((\dots((f ; g) ; h) ; \dots) ; k) x \\ \rightarrow\rightarrow k (\dots (h (g (f x)))) \dots)$$

# The Operator (;)

...suggests introducing another operator ( $\gg;$ ):

$(\gg;) :: a \rightarrow (a \rightarrow b) \rightarrow b$

$x \gg; f = f\ x$

enabling also sequences of function applications applied [L2R](#):

$(\dots(((x \gg; f) \gg; f1) \gg; f2) \gg; \dots \gg; fn)$

$\hat{=} x \gg; f \gg; f1 \gg; f2 \gg; \dots \gg; fn$

...where a value  $x$  is fed to the sequence of functions which are then applied one after the other to  $x$  (resp. its resulting images).

# Opposing and Comparing

...non-monadic ( $\gg;$ ) and monadic ( $\gg=$ ) sequencing:

## 1. Ordinary Functional Sequencing from left to right:

$(\gg;) :: a \rightarrow (a \rightarrow b) \rightarrow b$

$x \gg; f = f\ x$

...enables L2R application sequences of the form:

$x \gg; f \gg; f1 \gg; f2 \gg; f3 \gg; \dots \gg; fn$

## 2. Monadic Functional Sequencing from left to right:

$(\gg=) :: (\text{Monad } m) \Rightarrow m\ a \rightarrow (a \rightarrow m\ b) \rightarrow m\ b$

$c \gg= k = k$  "unpack c"

...enables L2R application sequences of the form:

$c \gg= k \gg= k1 \gg= k2 \gg= k3 \gg= \dots \gg= kn$

...reveals: There is **no mystery at all!**



# Summing up

...the difference between  $(\gg;)$  and  $(\gg=)$  is a technical one:

$(\gg;) :: a \rightarrow (a \rightarrow b) \rightarrow b$

$x \gg; f = f\ x$

- The second argument  $f$  of  $(\gg;)$  can directly be applied to its first argument  $x$ .
- This means,  $(\gg;)$  is **parametric polymorphic**.

$(\gg=) :: (\text{Monad } m) \Rightarrow m\ a \rightarrow (a \rightarrow m\ b) \rightarrow m\ b$

$c \gg= k = k\ \text{"unpack } c\text{"}$

- The first argument  $c$  of  $(\gg=)$  needs to be **unpacked** before its second argument  $k$  can be applied to it.
- The **unpacking** of the first argument is type specific.
- Hence,  $(\gg=)$  can only be **ad hoc polymorphic**, and must be a **member function** of some **type (constructor) class**.
- This **type constructor class** is (called) **Monad**.

...again, except of this difference, **no mystery!**

# Chapter 12.2

## The Type Constructor Class Monad

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# The Type Constructor Class Monad

...monads are instances of the type constructor class `Monad` obeying the monad laws:

## Type Constructor Class Monad

```
class Monad m where
  (>>=)  :: m a -> (a -> m b) -> m b
  return :: a -> m a
  (>>)   :: m a -> m b -> m b
  fail   :: String -> m a
  c >> k = c >>= \_ -> k
  fail s = error s
```

## Monad Laws

`return x >>= f` = `f x` (ML1)

`c >>= return` = `c` (ML2)

`c >>= (\x -> (f x) >>= g)` = `(c >>= f) >>= g` (ML3)

# Note

...monads must be 1-ary type constructors (like functors).

Intuitively, the monad laws require from (proper) monad instances:

- return is unit of ( $\gg=$ ), i.e., it must pass its argument without any other effect (just as function pure of type constructor class `Applicative`) (`ML1`, `ML2`).
- ( $\gg=$ ) is associative, i.e., sequencings given by ( $\gg=$ ) must not depend on how they are bracketed (`ML3`).

## Programmer obligation

- Programmers must prove that their instances of `Monad` satisfy the monad laws.

**Note:** Sequence operator ( $\gg=$ ): Read as `bind` (Paul Hudak) or `then` (Simon Thompson). Sequence operator ( $\gg$ ): Derived from ( $\gg=$ ), read as `sequence` (Paul Hudak).

# Type Constructor Class Monad in more Detail

class Monad m where

-- 'Primary' functions (relevant for every monad)

return :: a -> m a -- Value 'lifting:' Ma-

king a monadic value

(>>=) :: m a -> (a -> m b) -> m b -- Sequencing

-- 'Secondary' functions (relevant for some monads)

fail :: String -> m a -- Error handling

(>>) :: m a -> m b -> m b -- Simplified sequencing

-- Default implementations

fail s = error s -- Failing computation:

$\underbrace{\text{:: String}}_{\text{:: m a}} = \underbrace{\text{error s}}_{\text{:: String}}_{\text{:: m a}}$  -- Outputting s as error

-- error message

$\underbrace{\text{c}}_{\text{:: m a}} \underbrace{\text{>> k}}_{\text{:: m b}} = \underbrace{\text{c}}_{\text{:: m a}} \underbrace{\text{>>= \_ -> k}}_{\text{:: a -> m b}}_{\text{:: m b}}$

# The Monad Laws in more Detail

...with added type information:

$$\underbrace{\underbrace{\text{return } x}_{:: a \rightarrow m a} \gg= \underbrace{f}_{:: a \rightarrow m b}}_{:: m a} = \underbrace{f}_{:: a \rightarrow m b} \underbrace{x}_{:: a} \quad (\text{ML1})$$

$$\underbrace{c}_{:: m a} \gg= \underbrace{\text{return}}_{:: a \rightarrow m a} = \underbrace{c}_{:: m a} \quad (\text{ML2})$$

# Associativity of ( $\gg$ )

## Lemma 12.2.2 (Associativity of ( $\gg$ ))

Monotonicity of ( $\gg=$ ) for some monad  $m$  implies that the default implementation of ( $\gg$ ) is associative, too, i.e.:

$$c1 \gg (c2 \gg c3) = (c1 \gg c2) \gg c3$$

Compared with the associativity statement of [Lemma 12.2.2](#) for ( $\gg$ ), the left-hand side of (ML3) requiring the associativity of ( $\gg=$ ) looks 'ugly':

$$c \gg= (\lambda x \rightarrow (f x) \gg= g) = (c \gg= f) \gg= g \quad \text{(ML3)}$$

To improve on this, we introduce a new operator ( $\gg@$ ):

$$\begin{aligned} (\gg@) &:: \text{Monad } m \Rightarrow (a \rightarrow m b) \rightarrow (b \rightarrow m c) \\ &\quad \rightarrow (a \rightarrow m c) \end{aligned}$$

$$f \gg@ g = \lambda x \rightarrow (f x) \gg= g$$

# The Monad Laws in Terms of ( $>@>$ )

...using ( $>@>$ ), the monad laws, especially the **associativity requirement**, look as natural and obvious as for ( $>>$ ).

## Lemma 12.2.3

If ( $>>=$ ) and **return** of some monad **m** are associative and unit of ( $>>=$ ), respectively, then we have:

$$\text{return } >@> f = f \quad (\text{ML1}')$$

$$f >@> \text{return} = f \quad (\text{ML2}')$$

$$(f >@> g) >@> h = f >@> (g >@> h) \quad (\text{ML3}')$$

## Intuitively

- **return** is unit of ( $>@>$ ) (**ML1'**, **ML2'**).
- ( $>@>$ ) is **associative** (**ML3'**).



# A Law linking Classes Monad and Functor

...type constructors, which shall be proper instances of both `Monad` and `Functor` must satisfy law `MFL`:

```
fmap g xs = xs >>= return . g           (MFL)
           ( = do x <- xs; return (g x) )
```

(regarding the do-notation, refer to [Chapter 12.3.](#))

# Selected Utility Functions for Monads (1)

```
(=<<)      :: Monad m => (a -> m b) -> m a -> m b
f =<< x    = x >>= f

sequence  :: Monad m => [m a] -> m [a]
sequence = foldr mcons (return [])
          where mcons p q = do l <- p
                               ls <- q
                               return (l:ls)

sequence_ :: Monad m => [m a] -> m ()
sequence_ = foldr (>>) (return ())

mapM      :: Monad m => (a -> m b) -> [a] -> m [b]
mapM f as = sequence (map f as)

mapM_     :: Monad m => (a -> m b) -> [a] -> m ()
mapM_ f as = sequence_ (map f as)
```

## Selected Utility Functions for Monads (2)

```
mapF      :: Monad m => (a -> b) -> m [a] -> m [b]
mapF f x  = do v <- x; return (f v)
  -- equals map on lists, i.e., for picking [] as m

joinM     :: Monad m => m (m a) -> m a
joinM x   = do v <- x; v
  -- equals concat on lists, i.e., for picking [] as m
```

...and many more (see e.g., library `Monad`).

### Lemma 12.2.4

1. `mapF (f . g) = mapF . mapF g`
2. `joinM return = joinM . mapF return`
3. `joinM return = id`

# Chapter 12.3

## Syntactic Sugar: The do-Notation

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# The do-Notation

...the **monadic operations** ( $\gg=$ ) and ( $\gg$ ) allow very much as functional composition ( $\cdot$ )

- to explicitly specify the sequencing of (fitting) operations.

Both **functional** and **monadic sequencing** introduce

- an **imperative** flavour into **functional** programming.

The **syntactic sugar** of the so-called

- **do-notation**

replacing ( $\gg=$ ) and ( $\gg$ ) allows to express this imperative flavour of **monadic sequencing** syntactically even more **compelling** and **concise**.

# Relating Monadic Operations and do-Notation

...four **conversion rules** allow converting sequences of monadic operations composed of

- $(\gg=)$  and  $(\gg)$

into **equivalent** ( $\langle \Leftarrow \Rightarrow \rangle$ ) sequences of

- **do**-blocks

and vice versa.

# Intuitively

Recall:

$(\gg=) :: m\ a \rightarrow (a \rightarrow m\ b) \rightarrow m\ b$

$(\gg) :: m\ a \rightarrow m\ b \rightarrow m\ b$

Then:

$dc\ v \gg= \overbrace{\quad}^f \quad \dashv\!\!\dashv\! \gg \quad \overbrace{\quad}^f\ v$   
 $:: m\ a \quad :: (a \rightarrow m\ b) \quad :: m\ b$   
" <=> do x <- dc v; y <- f x; return y "  
 $\quad \quad \quad \underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad}$   
 $\quad \quad \quad :: a \quad :: m\ a \quad :: b \quad :: m\ b \quad :: m\ b$

$dc\ v \gg dc'\ v' \dashv\!\!\dashv\! dc\ v \gg= \_ \rightarrow dc'\ v'$   
 $\underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad}$   
 $:: m\ a \quad :: m\ b \quad :: m\ a \quad :: (a \rightarrow m\ b)$   
" <=> do \_ <- dc v; y <- dc' v'; return y "  
 $\quad \quad \quad \underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad} \quad \underbrace{\quad}^{\quad}$   
 $\quad \quad \quad :: a \quad :: m\ a \quad :: b \quad :: m\ b \quad :: m\ b$

with  $dc, dc'$  some data constructors of type constructor  $m$ .

# The Conversion Rules

(R1) `do e <=> e`

(R2) `do e1;e2;...;en <=> e1 >>= \_ -> do e2;...;en`  
`<=> e1 >> do e2;...;en`

(R3) `do let decl_list;e2;...;en <=> let decl_list`  
`in do e2;...;en`

(R4) `do pattern <- e1;e2;...;en <=>`  
`let ok pattern = do e2;...;en`  
`ok _ = fail "..."`  
`in e1 >>= ok`

...and as a special case of the 'pattern' rule (R4):

(R4') `do x <- e1;e2;...;en <=>`  
`e1 >>= \x -> do e2;...;en`



# Notes on the Conversion Rules

## Intuitively

- (R2): If the return value of an operation is not needed, it can be moved to the front.
- (R3): A `let`-expression storing a value can be placed in front of the `do`-block.
- (R4): Return values bound to a pattern require a supporting function that handles the pattern matching and the execution of the remaining operations, or that calls `fail`, if the pattern matching fails.

**Note:** It is rule (R4) which necessitates `fail` as a monadic operation in `Monad`. Overwriting this operation allows a monad-specific exception and error handling.

# Illustrating the do-Notation

...using the **monad laws** as example.

A) The **monad laws** using `(>>=)` and `(>>)`:

`return a >>= f` = `f a` (ML1)

`c >>= return` = `c` (ML2)

`c >>= (\x -> (f x) >>= g)` = `(c >>= f) >>= g` (ML3)

B) The **monad laws** using **do**-notation:

`do x <- return a; f x` = `f a` (ML1)

`do x <- c; return x` = `c` (ML2)

`do x <- c; y <- f x; g y` =  
`do y <- (do x <- c; f x); g y` (ML3)

# Semicolons vs. Linebreaks in do-Notation

B) do-notation in 'one' line (w/ ';', no linebreaks):

do x <- return a; f x = f a (ML1)

do x <- c; return x = c (ML2)

do x <- c; y <- f x; g y =  
do y <- (do x <- c; f x); g y (ML3)

C) do-notation in 'several' lines (w/ linebreaks, no ';'):

do x <- return a  
f x = f a (ML1)

do x <- c  
return x = c (ML2)

do x <- c  
y <- f x  
g y = do y <- (do x <- c  
f x)  
g y (ML3)

# Chapter 12.4

## Monad Examples

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# Predefined Monads in Haskell

We consider a selection of [predefined monads](#):

- [Identity](#) monad
- [List](#) monad
- [Maybe](#) monad
- [Map](#) monad
- [State](#) monad
- [Input/Output](#) monad

...but there are many more of them predefined in [Haskell](#):

- [Writer](#) monad
- [Reader](#) monad
- [Failure](#) monad
- ...

# As a Rule of Thumb

...when making a 1-ary type constructor a monad, then:

- ( $\gg=$ ) will be defined to unpack the value of the first argument, map the second argument over it, and return the packed result this yields.
- `return` will be defined in the most straightforward way to lift the argument value to its monadic counterpart.
- ( $\gg$ ) and `fail` are usually not to be implemented afresh. Usually, their default implementations provided in type constructor class `Monad` are just fine.

If the default implementations of ( $\gg$ ) and `fail` are used, this means for

- ( $\gg$ ): the first argument is evaluated and dropped, the second argument is evaluated and returned as result (makes sense for some monads like the IO-monad).
- `fail`: the computation stops by calling `error` with some appropriate error message.

# Chapter 12.4.1

## The Identity Monad

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# The Identity Monad

...making the 1-ary type constructor `Id` an instance of `Monad` (conceptually the simplest monad):

```
newtype Id a = Id a

instance Monad Id where
  (Id x) >>= f = f x
  return      = Id
```

Note:

- `Id`: 1-ary **type** constructor, i.e., if `a` is a type variable, then `Id a` denotes a type.
- `Id`: 1-ary **data** (or **value**) constructor, i.e., if `x :: a`, then `Id x` is a value of type `Id a`: `Id x :: Id a`.
- `(>>)`, `fail` implicitly defined by default implementations.
- `(>>=)` `:: Id a -> (a -> Id b) -> Id b`  
`return` `:: a -> Id a`  
`(>>)` `:: Id a -> Id b -> Id b`



# Proof Obligation: The Monad Laws

## Lemma 12.4.1.1 (Soundness of Identity Monad)

The `Id` instance of `Monad` satisfies the three monad laws `ML1`, `ML2`, and `ML3`.

...`Id` is thus a proper instance of `Monad`, the so-called *identity monad*.

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# The Identity Monad Operations in more Detail

The monad operations recalled:

```
(>>=) :: (Monad m) => m a -> (a -> m b) -> m b
v >>= k = ... :: m b
return :: (Monad m) => a -> m a
return v = ... :: m a
```

The instance declaration for `Id` with added type information:

```
instance Monad Id where
  Id x >>= f = f x -- yields an (Id b)-value
  :: Id a    :: a -> Id b  :: Id b
  return x   = Id x -- yields an (Id a)-value
  :: a      :: Id a
```

Recall the overloading of `Id` (newtype `Id a = Id a`):

- `Id` followed by `x`: `Id` is **data** (or **value**) constructor (`Id ≐ Id`).
- `Id` followed by `a` or `b`: `Id` is **type** constructor (`Id ≐ Id`).

# Note

## Intuitively

- The identity monad maps a type to itself.
- It represents the trivial state, in which no actions are performed, and values are returned immediately.
- It is useful because it allows to specify computation sequences on values of its type (cf. [Chapter 12.5.1](#))

## Moreover

- The operation  $(>@>)$  boils down to [forward composition](#) of functions  $(>.>)$  ( $\hat{=}$   $(>>;)$ ) for the identity monad:  
$$(>.>) :: (a \rightarrow b) \rightarrow (b \rightarrow c) \rightarrow (a \rightarrow c)$$
$$g >.> f = f . g = g ; f$$
- Forward composition of functions  $(>.>)$  is [associative](#) with [unit](#) element [id](#).

# Chapter 12.4.2

## The List Monad

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# The List Monad

...making the 1-ary type constructor `[]` an instance of `Monad`:

```
instance Monad [] where
```

```
  xs >>= f = concat (map f xs)  -- concat, map:
  return x = [x]                -- Standard Prelude
  fail s    = []
```

Note:

- `[]`: 1-ary **type** constructor, i.e., if `a` is a type variable, then `[a]` ( $\hat{=}$  `[] a`) denotes a type.
- `[]`: 1-ary **data** (or **value**) constructor, i.e., if `x :: a`, then `[x]` is a value of type `[a]`: `[x] :: [a]`; in particular, `[]` is a value, the empty list, i.e., `[] :: [a]`
- `(>>)` is implicitly defined by its default implementation; the default implementation of `fail` is overwritten.
- `(>>=)` `:: [] a -> (a -> [] b) -> [] b`  
`return` `:: a -> [] a`  
`(>>)` `:: [] a -> [] b -> [] b`

# Proof Obligation: The Monad Laws

## Lemma 12.4.2.1 (Soundness of List Monad)

The `[]` instance of `Monad` satisfies the three monad laws `ML1`, `ML2`, and `ML3`.

... `[]` is thus a proper instance of `Monad`, the so-called `identity monad`.

For convenience, we `recall` from the `Standard Prelude`:

```
concat :: [[a]] -> [a]
concat lss = foldr (++) [] lss
concat [[1,2,3], [4], [5,6]] ->> [1,2,3,4,5,6]

map :: (a -> b) -> [a] -> [b]
map _ [] = []
map f (x : xs) = f x : map f xs
map (*2) [1,2,3] ->> [2,4,6]
```

# The List Monad Operations in more Detail

The monad operations recalled:

```
(>>=) :: (Monad m) => m a -> (a -> m b) -> m b
v >>= k = ... :: m b
return :: (Monad m) => a -> m a
return v = ... :: m a
fail :: (Monad m) => String -> m a
fail s = ... :: m a
```

The instance declaration for `[]` with added type information:

```
instance Monad [] where
  xs >>= f      = concat (map f xs)      -- yields a [b]-list
  :: [] a      :: a -> [] b             :: [] ([] b)
  :: [] b

  return x      = [x]                  -- yields the singleton list [x]
  :: a          :: [] a

  fail s        = []                    -- yields the empty list []
  :: String     :: [] a
```

# Example: Applying the Monad Operations

```
ls = [1,2,3] :: [] Int
f = \n -> [(n,odd(n))] :: Int -> [] (Int,Bool)
g = \n -> [x*n | x <- [1.5,2.5,3.5]] :: Int -> [] Float
h = \n -> [1..n] :: Int -> [] Int
```

```
h 3 >>= f
->> ls >>= f
->> concat [ [(1,True)], [(2,False)], [(3,True)] ]
->> [(1,True),(2,False),(3,True)] :: [] (Int,Bool)

h 3 >>= g
->> ls >>= g
->> concat [ [ x*n | x <- [1.5,2.5,3.5] ] | n <- [1,2,3] ]
->> concat [ [1.5*1,2.5*1,3.5*1], [1.5*2,2.5*2,3.5*2],
            [1.5*3,2.5*3,3.5*3] ]
->> concat [ [1.5,2.5,3.5], [3.0,5.0,7.0], [4.5,7.5,10.5] ]
->> [1.5,2.5,3.5,3.0,5.0,7.0,4.5,7.5,10.5] :: [] Float
```



# The Example in More Detail

The monad operations recalled:

```
(>>=) :: (Monad m) => m a -> (a -> m b) -> m b
v >>= k = ... :: m b
return :: (Monad m) => a -> m a
return v = ... :: m a
fail :: (Monad m) => String -> m a
fail s = ... :: m a
```

The instance declaration for `[]` with added type information:

```
instance Monad [] where
  xs >>= f = concat (map f xs)  -- yields a [b]-list
  :: [] a  :: a -> [] b          :: [] ([] b)
  :: [] b

  return x = [x]  -- yields the singleton list [x]
  :: a       :: [] a
  fail s     = []  -- yields the empty list []
  :: String  :: [] a
```

Examples:

```
ls = [1,2,3] :: [] Int
f = \n -> [(n,odd(n))] :: Int -> [] (Int,Bool)
g = \n -> [x*n | x <- [1.5,2.5,3.5]] :: Int -> [] Float
h = \n -> [1..n] :: Int -> [] Int

h 3 >>= f ->> ls >>= f ->> concat [ [(1,True)], [(2,False)], [(3,True)] ]
->> [(1,True),(2,False),(3,True)] :: [] (Int,Bool)

h 3 >>= g ->> ls >>= g ->> concat [ [ x*n | x <- [1.5,2.5,3.5] ] | n <- [1,2,3] ]
->> concat [ [1.5*1,2.5*1,3.5*1], [1.5*2,2.5*2,3.5*2], [1.5*3,2.5*3,3.5*3] ]
->> concat [ [1.5,2.5,3.5], [3.0,5.0,7.0], [4.5,7.5,10.5] ]
->> [1.5,2.5,3.5,3.0,5.0,7.0,4.5,7.5,10.5] :: [] Float
```

# Reconsidering the List Monad Implementation

...the `list monad` could have `equivalently` been implemented by:

```
instance Monad [] where
  (x:xs) >>= f = f x ++ (xs >>= f)
  [] >>= f = []
  return x = [x]
  fail s = []
```

**Recall:** The operations `(>>=)` and `return` of the `list monad` have types:

```
(>>=)  :: [a] -> (a -> [b]) -> [b]
return :: a -> [a]
```

# List Monad and List Comprehension

...the **list monad** and **list comprehension** are closely related:

```
do x <- [1,2,3]
   y <- [4,5,6]
   return (x,y)
->> [(1,4), (1,5), (1,6),
      (2,4), (2,5), (2,6),
      (3,4), (3,5), (3,6)]
```

In fact, the following expressions are **equivalent**:

## Proposition 12.4.2.2

```
[(x,y) | x <- [1,2,3], y <- [4,5,6] ] <=>
do x <- [1,2,3]
   y <- [4,5,6]
   return (x,y)
```

...**list comprehension** is **syntactic sugar** for **monadic syntax**!

# List comprehension: Syntactic Sugar

...for monadic syntax.

We have:

## Lemma 12.4.2.3

$$[f\ x \mid x \leftarrow xs] \iff \text{do } x \leftarrow xs; \text{return } (f\ x)$$

## Lemma 12.4.2.4

$$[a \mid a \leftarrow as, p\ a] \iff \text{do } a \leftarrow as; \text{if } (p\ a) \text{ then return } a \text{ else fail ""}$$

## Exercise 12.4.2.5

Prove by stepwise evaluation the equivalences stated in:

1. Proposition 12.4.2.2
2. Lemma 12.4.2.3
3. Lemma 12.4.2.4

# Chapter 12.4.3

## The Maybe Monad

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# The Maybe Monad

...making the 1-ary type constructor `Maybe` a monad:

```
data Maybe a = Nothing | Just a

instance Monad Maybe where
    (Just x) >>= k = k x
    Nothing  >>= k = Nothing
    return   = Just
    fail s   = Nothing
```

Note:

- `(>>=)` :: `Maybe a -> (a -> Maybe b) -> Maybe b`
- `return` :: `a -> Maybe a`
- `(>>)` :: `Maybe a -> Maybe b -> Maybe b`
- The `Maybe monad` is useful for computation sequences that can produce a result, but might also produce an error.

# Proof Obligation: The Monad Laws

## Lemma 12.4.3.1 (Soundness of Maybe Monad)

The `Maybe` instance of `Monad` satisfies the three monad laws `ML1`, `ML2`, and `ML3`.

...`Maybe` is thus a proper instance of `Monad`, the so-called `maybe monad`.

Recall that `Maybe` is also an instance of `Functor`:

```
instance Functor Maybe where
  fmap f Nothing  = Nothing
  fmap f (Just x) = Just (f x)
```

## Lemma 12.4.3.2 (MFL Soundness of Maybe Mo/Fu)

The `Maybe` instances of `Monad` and `Functor` satisfy law `MFL` (of [Chap. 12.2](#)).



# The Maybe Monad Operations in More Detail

The monad operations recalled:

```
(>>=) :: (Monad m) => m a -> (a -> m b) -> m b
v >>= k = ... :: m b
return :: (Monad m) => a -> m a
return v = ... :: m a
fail :: (Monad m) => String -> m a
fail s = ... :: m a
```

The instance declaration for `Maybe` with added type information:

```
instance Monad Maybe where
  Just x >>= k = k x           -- yields a Just-value
  :: Maybe a  >>= k :: a -> Maybe b  >>= k :: Maybe b
  Nothing >>= k = Nothing      -- yields the Nothing-value
  :: Maybe a  >>= k :: a -> Maybe b  >>= k :: Maybe b
  return x = Just x           -- yields the Just-value
  :: a >>= k :: a -> Maybe b  >>= k :: Maybe a
  fail s = Nothing           -- yields the empty list
  :: String >>= k :: a -> Maybe b  >>= k :: Maybe a
```

# Example: Error Handling: (1)

...or: How to compose **functions** with **monadic value ranges**.

Let  $f'$ ,  $g'$  be two functions of type:

$$f' :: a \rightarrow b$$

$$g' :: b \rightarrow c$$

Obviously, composing  $f'$  and  $g'$  sequentially is straightforward:

$$h' :: a \rightarrow c$$

$$h' = (g' . f')$$

$$h' \ x \ ->> \ (g' . f') \ x \ ->> \ g' \ (f' \ x)$$

## Example: Error Handling (2)

If the computations of  $f'$  and  $g'$  can fail, this can be taken care of by replacing  $f'$  and  $g'$  by two new functions  $f$  and  $g$  embedding the computation into the `Maybe` type:

```
f :: a -> Maybe b           -- f replaces f'
g :: b -> Maybe c           -- g replaces g'
```

Unlike  $f'$  and  $g'$ , however,  $f$  and  $g$  can not straightforwardly be sequentially composed:

```
h :: a -> Maybe c           -- "h = (g . f)":
h x = case (f x) of         -- Composing f and g
    Nothing -> Nothing     -- requires nested
    Just y  -> case (g y) of -- case clauses
        Nothing -> Nothing
        Just z  -> Just z
```

Though possible, the explicit nesting of cases to sequentially compose  $f$  and  $g$  is inconvenient and tedious.

## Example: Error Handling (3)

Step 1: Hiding nestings.

...embedding  $f'$  and  $g'$  into the **Maybe** type gets a lot easier by exploiting the monad property of **Maybe**: Using the **monadic sequencing operations** for composing  $f$  and  $g$  allows:

```
h :: a -> Maybe c           -- "h = (g . f)"
h x = f x >>= \y -> g y >>= \z -> return z
```

or, **equivalently**, using the **do** notation:

```
h :: a -> Maybe c           -- "h = (g . f)"
h x = do y <- f x
        z <- g y
        return z
```

...the '**nasty**' error checks are now hidden in the implementation of the bind operation ( $>>=$ ) of the **maybe monad**.

## Example: Error Handling (4)

Step 2: Hiding the bind operation ( $\gg=$ ).

Note that the sequence of monad operations:

$$f\ x \gg= \backslash y \rightarrow g\ y \gg= \backslash z \rightarrow \text{return}\ z$$

can be **simplified** to:

$$f\ x \gg= \backslash y \rightarrow g\ y \gg= \backslash z \rightarrow \text{return}\ z$$

$\Leftrightarrow$  (simplification by currying)

$$f\ x \gg= \backslash y \rightarrow g\ y \gg= \text{return}$$

$\Leftrightarrow$  (monad law for return)

$$f\ x \gg= \backslash y \rightarrow g\ y$$

$\Leftrightarrow$  (simplification by currying)

$$f\ x \gg= g$$

Hence,  $h\ x$  (“ $= g\ (f\ x)$ ”) is equivalent to  $f\ x \gg= g$ .

## Example: Error Handling (5)

...making use of this observation and introducing function:

```
composeM :: Monad m => (b -> m c) ->
              (a -> m b) -> (a -> m c)
(g 'composeM' f) x = f x >>= g
```

allows an even more pleasing notation for composing  $f$  and  $g$ :

```
h :: a -> Maybe c           -- "h = (g . f)"
h = (g 'composeM' f)
```

Hence, we get:

```
(g 'composeM' f)
```

as the monadic notational counterpart of sequentially composing  $f'$  and  $g'$ :

```
(g' . f')
```

## Example: Error Handling (6)

Overall: Using monadic sequencing

$f\ x \gg= g$  (or equivalently:  $(g\ \text{'composeM'}\ f)\ x$ )

for embedding the composition of  $f'$  and  $g'$  into the **Maybe** type preserves the original syntactical form of composing  $f'$  and  $g'$ :

$$(g' . f')\ x = g'\ (f'\ x)$$

in almost a 1-to-1 kind:

$$(g\ \text{'composeM'}\ f)\ x = f\ x \gg= g$$

# Chapter 12.4.4

## The Either Monad

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## Exercise 12.4.4.1 The Either Monad

1. Make the type constructor `(Either a)` a monad.
2. Provide (most general) type information for the defining equations of the monad operations `(>>=)`, `(>>)`, `return`, and `fail` of `(Either a)`.
3. Prove that `(Either a)` satisfies the monad laws.
4. Does your implementation of the `(Either a)` monad instance and the implementation of the `(Either a)` functor instance of [Chapter 10.3.4](#) satisfy the law `FML` (of [Chap. 12.2](#))? Prove or provide a counter-example.

# Chapter 12.4.5

## The Map Monad

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# The Map Monad

...making the 1-ary type constructor  $((\rightarrow) d)$  a monad:

```
instance Monad ((->) d) where
  h >>= f = \x -> f (h x) x
  return x = \_ -> x
```

Note: ( $d$  for domain,  $r$  for range)

```
(>>=) :: ((->) d) r -> (r -> ((->) d) r') -> ((->) d) r'
return :: r -> ((->) d) r
(>>)   :: ((->) d) r -> ((->) d) r' -> ((->) d) r'
```

Proof obligation: The monad laws

## Lemma 12.4.5.1 (Soundness of Map Monad)

The  $((\rightarrow) d)$  instance of `Monad` satisfies the three monad laws `ML1`, `ML2`, and `ML3`.

... $((\rightarrow) d)$  is thus a proper instance of `Monad`, the so-called `map monad`.

## Example (w/ `String`, `Int`, `(Bool,String)` for `d`, `r`, `r'`, resp.) (1)

```
(>>=) :: ((->) d) r -> (r -> ((->) d) r') -> ((->) d) r'
  (≡ (>>=) :: (d -> r) -> (r -> (d -> r')) -> (d -> r') )
h >>= f = \x -> f (h x) x

h_length :: ((->) String) Int
  (≡ h_length :: String -> Int )
h_length = length

f_cp_p :: Int -> ((->) String) ((,) Bool String)
  (≡ f_cp_p :: Int -> (String -> (Bool,String) ) )
f_cp_p n s = (,) (mod n 2 == 1) (copy n s)
  where copy n s = if n > 0 then s++" "++copy (n-1) s else ""

g :: ((->) String) ((,) Bool String)
  (≡ g :: String -> (Bool,String) )
g = \s -> f_cp_p (h_length s) s
  (≡ g s = (mod (length s) 2 == 1, copy (length s) s) )

h_length >>= f_cp_p
->> (\x -> f_cp_p (h_length x) x)      ( = g )

(h_length >>= f_cp_p) "Fun"
->> ... ->> (True, "Fun Fun Fun")
```

## Example (w/ `String`, `Int`, `(Bool,String)` for `d`, `r`, `r'`, resp.) (2)

...in more detail:

```
h_length >>= f_cp_p
->> (\x -> f_cp_p (h_length x) x)
    = g      ( :: String -> (Bool,String) )

(h_length >>= f_cp_p) "Fun"
->> (\x -> f_cp_p (h_length x) x) "Fun"
    = g "Fun"

->> (mod (length "Fun") 2 == 1, copy (length "Fun") "Fun")
->> (mod 3 2 == 1, copy 3 "Fun")
->> (True, "Fun Fun Fun")      ( :: (Bool,String) )
```

## Example (w/ String, Int, (Bool,String) for d, r, r', resp.) (3)

```
(>>=)  :: ((->) d) r -> (r -> ((->) d) r') -> ((->) d) r'
```

```
h >>= f  = \x -> f (h x) x
```

```
return  :: r -> ((->) d) r  (≡ return :: Int -> ((->) String) Int)
```

```
return x = \_ -> x          (≡ return :: Int -> (String -> Int) )
```

```
return 0 = \_ -> 0        ( :: String -> Int )
```

```
return 0 >>= f_cp_p
```

```
->> \x -> f_cp_p ((return 0) x ) x
```

```
->> \x -> f_cp_p (\_ -> 0) x) x ( :: String -> (Bool,String) )
```

```
(return 0 >>= f_cp_p) "Fun"
```

```
->> (\x -> f_cp_p ((return 0) x ) x) "Fun"
```

```
->> f_cp_p ((return 0) "Fun" ) "Fun"
```

```
->> f_cp_p ((\_ -> 0) "Fun") "Fun"
```

```
->> f_cp_p 0 "Fun"
```

```
->> (mod 0 2 == 1, copy 0 "Fun")
```

```
->> (False, "")          ( :: (Bool,String) )
```

```
(return 1 >>= f_cp_p) "Fun" ->> ... ->> (True, "Fun")
```

```
(return 2 >>= f_cp_p) "Fun" ->> ... ->> (False, "Fun Fun")
```

```
(return 3 >>= f_cp_p) "Fun" ->> ... ->> (True, "Fun Fun Fun")
```

## Example (w/ String, Int for d, r, resp.) (4)

```
(>>=)  :: ((->) d) r -> (r -> ((->) d) r') -> ((->) d) r'
```

```
h >>= f  = \x -> f (h x) x
```

```
return :: r -> ((->) d) r (≡ return :: Int -> ((->) String) Int)
```

```
return x = \_ -> x (≡ return :: Int -> (String -> Int))
```

```
return 3 = \_ -> 3 ( :: String -> Int )
```

```
h_length >>= return
```

```
->> \x -> return (h_length x) x
```

```
->> \x -> return (length x) x
```

```
->> \x -> (\_ -> length x) x ( :: String -> Int )
```

```
(h_length >>= return) "Fun"
```

```
->> (\x -> (return (h_length x) x)) "Fun"
```

```
->> return (h_length "Fun") "Fun"
```

```
->> return (length "Fun") "Fun"
```

```
->> return 3 "Fun"
```

```
->> (\_ -> 3) "Fun"
```

```
->> 3 ( :: Int )
```

## Exercise 12.4.5.2

1. Recall the monad operations:

```
(>>=) :: (Monad m) => m a -> (a -> m b) -> m b
v >>= k = ... :: m b
return :: (Monad m) => a -> m a
return v = ... :: m a
```

Add (most general) type information for the instance declaration of `((->) d)`:

```
instance Monad ((->) d) where
  h >>= f = \x -> f (h x) x
  return x = \_ -> x
```

2. Evaluate stepwise:

```
2.1 (return 2 >>= f_cp_p) "Fun"
2.2 (h_length >>= return) "Fun Prog"
2.3 (h_length >>= return >>= f_cp_p) "Fun"
```



# Chapter 12.4.6

## The State Monad

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# Objective: Modelling Global State, Side-Effects

...by means of functions, so-called **state transformers**, which, applied to some current **state s** yield a new **state s'** together with some additional result at the side.

**Key:** The **state monad** of an appropriate **state type**:

```
newtype State st a = St (st -> (st, a))
```

where

- **State** : 2-ary type constructor (bundling **st** and **a**).
- **st, a**: Type variables (concrete types inserted for **st** and **a** are the actual **state type** of interest and the type of some additional result of state transformers, resp.).
- **St (st -> (st, a))**: State values capsulating **state transformers** mapping 'old' to 'new' states plus delivering some additional result.

# State Transformers

...map (or: transform) global (internal program) states of a type `st` into (possibly modified) new states of the same type `st` computing additionally a result of some type `a`.

In more detail:

State transformers are mappings `m` of type:

$$m :: st \rightarrow (st, a)$$

mapping states `s :: st` to pairs of (possibly modified result) states `s' :: st` and values `x :: a`:

$$\underbrace{m\ s}_{::\ st} \rightarrow \underbrace{(s')}_{::\ st}, \underbrace{x}_{::\ a}$$

# The State Monad

...making the 1-ary type constructor `(State st)` resulting from partially evaluating the 2-ary type constructor `State`

```
newtype (State st) a = St (st -> (st, a))
```

a monad:

```
instance Monad (State st) where
  (St h) >>= f = St (\s -> let (s', x) = h s
                             St f' = f x
                             in f' s')

  return x = St (\s -> (s, x))
```

**Note:** The sequence operation `(>>)` and `fail` inherit their default implementations of type constructor class `Monad`.

# Stepwise developing bind operation ( $\gg=$ ) (1)

```
( $\gg=$ ) :: (State st) a -> (a -> (State st) b) -> (State st) b
(St h)  $\gg=$  f = St g
  where g :: st -> (st,b)
         $\Rightarrow$  g = "apply h, then apply f to h's result"  $\Leftarrow$ 
wrt given maps h :: st -> (st,a)
                f :: a -> (State st) b
                where values of type (State st) b look like:
                St k :: (State st) b with k :: st -> (st,b)
ensuring St g :: (State st) b is of type (State st) b
as required.
```

This might look confusing at first sight but we are well familiar with the pattern “apply h, then apply f to h’s result” from sequentially composing functions:

```
(.) :: (b -> c) -> (a -> b) -> (a -> c)
(f . h) x = f (h x)
```

Let us thus look into this pattern in more detail...

## Stepwise developing bind operation ( $\gg=$ ) (2)

Recall how two functions  $f$  and  $h$  are sequentially composed:

$$\begin{aligned}(\cdot) &:: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c) \\ (f \cdot h) \ x &= f \ (h \ x)\end{aligned}$$

The sequential composition  $(f \cdot h)$  of  $f$  and  $h$  applies  $f$  to the result yielded by  $h$  applied to  $x$ : This “apply  $f$  to  $h$ 's result” gets even more obvious by introducing name  $y$  for the result  $h$  yields applied to  $x$  and passing this name as argument to  $f$ :

$$\begin{aligned}(f \cdot h) \ x &= \text{let } y = h \ x \\ &\quad z = f \ y \\ &\quad \text{in } z\end{aligned}$$

**Note:**  $y$  denotes the intermediate result yielded by  $h$  applied to  $x$ .  $y$  as intermediate result is passed as argument to  $f$  yielding  $z$ , which is already the result of sequentially composing  $f$  and  $h$ .

# Stepwise developing bind operation ( $\gg=$ ) (3)

The sequential composition ( $f \cdot h$ ) of  $f$  and  $h$  is itself a function: let's name it  $g$ . This gets obvious by defining ( $f \cdot h$ ) pointfree:

```
(.) :: (b -> c) -> (a -> b) -> (a -> c)
f . h = g where g :: (a -> c)
              g = \x -> let y = h x
                          z = f y
                          in z
```

**Note:** This definition is nothing else as the answer to asking how to define the sequential composition ( $f \cdot h$ ) of two functions  $f$  and  $h$  we could have started our considerations of ( $f \cdot h$ ) with:

```
(.) :: (b -> c) -> (a -> b) -> (a -> c)
f . h = g
  where g :: a -> c
        => g = "apply h, then apply f to h's result" <=
wrt given maps h :: a -> b
              f :: b -> c
              where values of type c look like:
                    k :: c (with k w/out further inner structure)
```

# Cp. the two patterns and note their similarity:

Pattern 1: **Sequential** composition of **f** and **h**:

$(.) :: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c)$

$f . h = g$

where  $g :: a \rightarrow c$

$g = \text{"apply h, then apply f to h's result"}$

wrt given maps  $h :: a \rightarrow b$

$f :: b \rightarrow c$

where values of type  $c$  look like:

$k :: c$  (with  $k$  w/out further inner structure)

Pattern 2: **Monadic** composition of  $(\text{St } h)$  and  $f$ :

$(>>=) :: (\text{State } st) a \rightarrow (a \rightarrow (\text{State } st) b) \rightarrow (\text{State } st) b$

$(\text{St } h) >>= f = \text{St } g$

where  $g :: st \rightarrow (st, b)$

$g = \text{"apply h, then apply f to h's result"}$

wrt given maps  $h :: st \rightarrow (st, a)$

$f :: a \rightarrow (\text{State } st) b$

where values of type  $(\text{State } st) b$  look like:

$\text{St } k :: (\text{State } st) b$  with  $k :: st \rightarrow (st, b)$

ensuring  $\text{St } g :: (\text{State } st) b$  as required.



# This means

...if we understand **sequential** composition:

```
(.) :: (b -> c) -> (a -> b) -> (a -> c)
f . h = g where g :: (a -> c)
                g = \x -> let y = h x    -- apply h
                           z = f y      -- then apply f to
                           in z        -- h's result
```

we understand **monadic** composition, too: Composing a monadic value `(St h)` encapsulating a state transformer `h` and a state transformer producing function `f` yields eventually a value `(St g)` of another monadic type being the result the monadic composition of `(St h)` and `f`:

```
(>>=) :: (State st) a -> (a -> (State st) b) -> (State st) b
(St h) >>= f = St g
           where g :: st -> (st,b)
                 g = "apply h, then apply f to h's result"
           wrt given maps h and f...
```

Of course, the details of **monadic** composition are more complex than for **sequential** composition because the involved types are more complex...

# Getting bind ( $\gg=$ ) done!

```
( $\gg=$ ) :: (State st) a -> (a -> (State st) b) -> (State st) b
(St h)  $\gg=$  f = St g
  where g :: st -> (st,b)
        g = (\s -> let (s',x) = h s    -- Apply h
                     $\underbrace{\hspace{1.5cm}}$ 
                    :: st           St f' = f x    -- then apply f to
                                   (s'',y) = f' s' -- (part of) h's
                                   in (s'',y)      -- result giving f'
                                    $\underbrace{\hspace{1.5cm}}$ 
                                   :: (st,b)        -- and f' to the rest
                                                    -- of h's result
```

Note: The two functions

- 1)  $h :: (st \rightarrow (st,a))$
- 2)  $f :: a \rightarrow (State\ st)\ b$

involved in **monadic composition** for the **state monad** are applied one after the other and yield as **intermediate** result a third function

- 3)  $f' :: st \rightarrow (st,b)$

that, applied to another **intermediate** result, completes a fourth function

- 4)  $g :: st \rightarrow (st,b)$

which, capsulated in state value **St g**, is the result of **monad. compos.**!

# Constructing $g$ in three steps (1)

Note:  $g = \backslash s \rightarrow \text{let } \dots \text{ in } (s'', y) :: \text{st} \rightarrow (\text{st}, b)$  is constructed in 3 steps:

$$\begin{aligned} g &:: \text{st} \rightarrow (\text{st}, b) \\ g &= (\underbrace{\backslash s \rightarrow \text{let}}_{:: \text{st}} (s', x) = h \ s \quad \text{-- 1) Apply } h, \\ &\quad \text{St } f' = f \ x \quad \text{-- 2) then apply } f \text{ to} \\ &\quad (s'', y) = f' \ s' \quad \text{-- (part of) } h\text{'s} \\ &\quad \text{in } (s'', y) \quad \text{-- result giving } f', \\ &\quad \underbrace{\quad}_{:: (\text{st}, b)} \quad \text{-- 3) and then } f' \text{ to the} \\ &\quad \quad \quad \text{-- rest of } h\text{'s result} \\ &\quad \quad \quad \text{-- giving } (s'', y). \end{aligned}$$

- 1) State transformer  $h$  is applied to  $s :: \text{st}$  yielding a pair  $(s', x) :: (\text{st}, a)$  of an intermediate new state  $s'$  and an additional value  $x$ .
- 2) Applied to  $x :: a$ ,  $f$  yields a monadic value  $\text{St } f' :: (\text{State } \text{st}) \ b$  encapsulating a new state transformer  $f' :: \text{st} \rightarrow (\text{st}, b)$ .
- 3)  $f'$  is applied to the intermediate new state  $s' :: \text{st}$  yielding the pair  $(s'', y) :: (\text{st}, b)$  with final state  $s''$  and additional value  $y$  as result of the monadic composition of  $(\text{St } h)$  and  $f$  as required.

## Constructing $g$ in three steps (2)

In summary, there are **two intermediate results** showing up in the course of constructing  $g$ :

1. a pair  $(s', x)$  of an intermediate new state  $s'$  and some value  $x$ ,
2. an intermediate new state transformer function  $f'$  encapsulated in a  $(\text{State } st \ b)$  value  $(\text{St } f')$ !

# Mission accomplished: Bind ( $\gg=$ ) done!

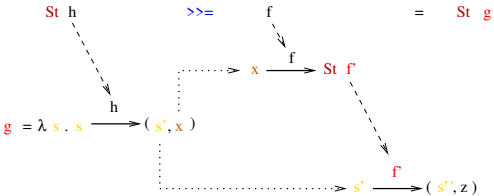
$(\gg=) :: (\text{State } st) a \rightarrow (a \rightarrow (\text{State } st) b) \rightarrow (\text{State } st) b$

$(\text{St } h) \gg= f = \text{St } g$

where  $g :: st \rightarrow (st, b)$

$g = (\underbrace{\backslash s \rightarrow}_{:: st} \text{ let } (s', x) = h \ s \quad \text{-- 1) Apply } h,$   
 $\quad \quad \quad \text{St } f' = f \ x \quad \text{-- 2) then apply } f$   
 $\quad \quad \quad (s'', y) = f' \ s' \quad \text{-- to (part of) } h\text{'s}$   
 $\quad \quad \quad \text{in } (s'', y) \quad \quad \quad \text{-- result giving } f',$   
 $\quad \quad \quad \underbrace{\quad \quad \quad}_{:: (st, b)} \quad \quad \quad \text{-- 3) and then } f' \text{ to}$   
 $\quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \text{-- the rest of } h\text{'s}$   
 $\quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \text{-- result giving } (s'', y)).$

This effect of the bind operation can be visualized as follows:



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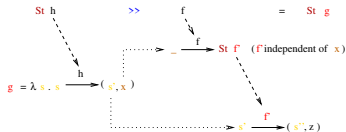
# Getting the remaining State monad op's done!

Having defined `bind (>>=)`, we are left with defining `return`, sequence `(>>)`, and `fail`:

```
return :: a -> (State st) a
return x = St g
  where g :: st -> (st, a)
        g = \s -> (s, x)
```

For sequence `(>>)` and `fail` we'll go ahead with their default implementations of type constructor class `Monad`, i.e.:

```
(>>) :: (State st) a -> (State st) b -> (State st) b
(St h) >> f = (St h) >>= \_ -> f
```



```
fail :: String -> (State st) b
fail s = error s
```

# Getting done with the State monad!

```
instance Monad (State st) where
  (St h) >>= f
  :: st -> (st, a) :: a -> (State st) b
  = St (\s -> let (s', x) = h s
               St f' = f x
               in f' s')
  :: (st, b)
```

```
return x = St (\s -> (s, x))
  :: a      :: st      :: (st, a)
```

...with types:

```
(>>=) :: (State st) a -> (a -> (State st) b) -> (State st) b
return :: a -> (State st) a
(>>) :: (State st) a -> (State st) b -> (State st) b
fail :: String -> (State st) a
```

Or, more concisely, w/out type information:

```
instance Monad (State st) where
  (St h) >>= f = St (\s -> let (s',x) = h s
                              St f' = f x
                              in f' s')

  return x = St (\s -> (s,x))
```



# Once again, the State Monad in more Detail

The monad operations recalled:

```
(>>=) :: (Monad m) => m a -> (a -> m b) -> m b
c >>= k = ... :: m b
return :: (Monad m) => a -> m a
return x = ... :: m a
```

The instance declaration for `(State st)` with added type information:

```
instance Monad (State st) where
  St h >>= f
  :: (State st) a
  = St (\s -> let ... in f' s') -- constructing
      :: st :: (st,b) -- a proper state
      :: st -> (st,b) -- value using h
      :: (State st) b -- and f.

  return x = St (\s -> (s,x)) -- constructing a proper
      :: a :: (State st) a -- state value using x
  :: (State st) a -- in the simplest way.
```

# Proof Obligation: The Monad Laws

## Lemma 12.4.6.1 (Soundness of the State Monad)

The `(State st)` instance of `Monad` satisfies the three monad laws `ML1`, `ML2`, and `ML3`.

... `(State st)` is thus a proper instance of `Monad`, the so-called `state monad`.

# State': The Specialized State Monad

...specialized for a concrete state type `CStT` ('Concrete State Type') (e.g., `Int`, `[String]`, ...):

```
newtype State' a = St' (CStT -> (CStT, a))
```

```
instance Monad State' where
```

```
St' m >>= f = St' (\cs -> let (cs', x) = m cs
                             :: CStT      St' f' = f x
                             in f' cs')
                             ::] (CStT, b)
```

```
return x = St' (\cs -> (cs, x))
           :: a      :: CStT :: (CStT, a)
```

Note: `State'` is a 1-ary type constructor whereas `State` is a 2-ary type constructor.

# Proof Obligation: The Monad Laws (`State'`)

## Lemma 12.4.6.2 (Soundness of Spec. State Monad)

The `State'` instance of `Monad` satisfies the three monad laws `ML1`, `ML2`, and `ML3`.

...(`State'`) is thus a proper instance of `Monad`, the so-called specialized state monad.

**Note:** For `State'` the types of the monad operations (`>>=`), `return`, and (`>>`) boil down to:

`(>>=)`  $:: \text{State}' a \rightarrow (a \rightarrow \text{State}' b) \rightarrow \text{State}' b$

`return`  $:: a \rightarrow \text{State}' a$

`(>>)`  $:: \text{State}' a \rightarrow \text{State}' b \rightarrow \text{State}' b$

# The State Monad Reconsidered (1)

...sometimes also **renaming** helps getting things clear(er).

Think of `st_otw` as a type variable where the values of appropriate concrete types for `st_otw` **describe** or **model** the

- **state of the world** (`st_otw`).

The bind operation (`>>=`) of state monad (`State st_otw`) then allows us to **transform current states of the world** into **new states of the world**, i.e., to

- **transform** (the description of) the **state of the world it is currently in** into (the description of) the world it is in after the transformation, i.e., (the description of) the **new state the world is in** afterwards.

This suggests that **state transformers** are of the type:

```
state_transformer :: st_otw -> st_otw
```

...class `Monad` makes this a bit more complex as shown next.

## The State Monad Reconsidered (2)

```
newtype (State st_otw) a = St (st_otw -> (st_otw,a))
```

```
instance Monad (State st_otw) where
```

```
  St h >>= f
```

```
  = St (\current_state ->
```

```
      let (intermediate_state,x) = h current_state
```

```
          St g = f x
```

```
          (new_state,z) = g intermediate_state
```

```
      in (new_state,z)
```

```
  return x = St (\current_state -> (new_state,x))
```

```
    where new_state = current_state
```

where

```
(>>=) :: (State st_otw) a -> (a -> (State st_otw) b) ->  
                                             (State st_otw) b
```

```
return :: a -> (State st_otw) a
```

# Finally

...recall (or note) that we find the same pattern when sequentially composing functions (note particularly the similarity of the definitions of the left-to-right sequencing operations ( $>>=$ ) and  $(;)$ ):

$$(g \cdot f) = (f ; g) = \lambda x \rightarrow \text{let } \textit{intermediate} = f \ x \\ \qquad \qquad \qquad z = g \ \textit{intermediate} \\ \qquad \qquad \qquad \text{in } z$$

Obviously:

$$(g \cdot f) \ y = \\ (f ; g) \ y = \\ (\lambda x \rightarrow \text{let } \textit{intermediate} = f \ x; z = g \ \textit{intermediate} \ \text{in } z) \ y \\ = z \\ = g \ (f \ y)$$

# Chapter 12.4.7

## The Input/Output Monad

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# The Input/Output Monad

```
instance Monad IO where      (Impl. intern. hidden)
  (>>=)  :: IO a -> (a -> IO b) -> IO b
  return :: a -> IO a
  (>>)   :: IO a -> IO b -> IO b
  fail   :: String -> IO a
```

## Note:

- **IO-values** are so-called **IO-commands** (or **commands**).
- **Commands** have a **procedural** effect (i.e., reading or writing) and a **functional** effect (i.e., computing a value).
- **(>>=)**: With **p**, **q** **commands**, **p >>= q** is a composed command that first executes **p**, thereby performing a read or write operation and yielding an **a-value** **x** as result; subsequently **q** is applied to **x**, thereby performing a read or write operation and yielding a **b-value** **y** as result.
- **return**: Lifts an **a-value** to an **IO a-value** w/out performing any input or output operation.

# Proof Obligation: The Monad Laws

## Lemma 12.4.7.1 (Soundness of I/O Monad)

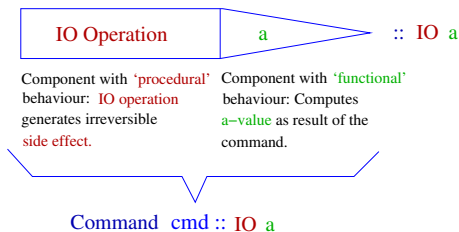
The **IO** instance of **Monad** satisfies the three monad laws **ML1**, **ML2**, and **ML3**.

...**IO** is thus a proper instance of **Monad**, the so-called **input/output (I/O) monad**.

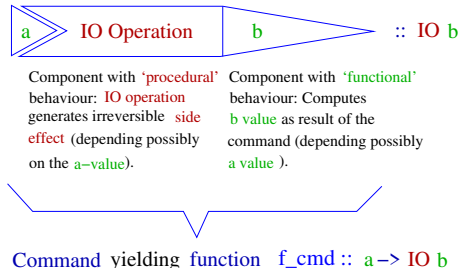
**Note:** The implementation of the input/output monad is internally hidden; it is thus the compiler writer who is in charge for proving **Lemma 12.4.7.1**.

# Illustrating the Nature of Commands

Command  $\text{cmd} :: \text{IO } a$

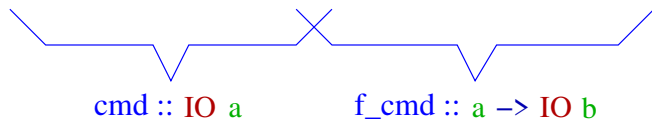


Command yielding function  $\text{f\_cmd} :: a \rightarrow \text{IO } b$



# Illustrating

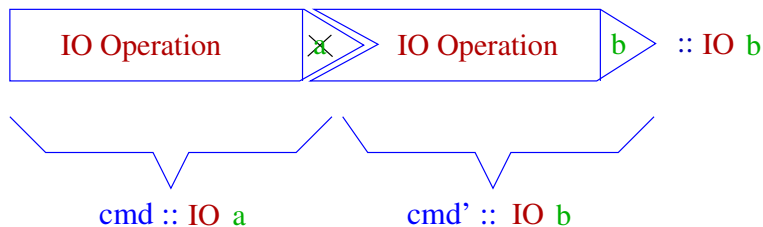
...the operational meaning of  $(\text{cmd} \gg= \text{f\_cmd})$ :



$$\text{cmd} \gg= \text{f\_cmd} \hat{=} \text{cmd} \gg= \backslash x \rightarrow \text{f\_cmd } x$$

# Illustrating

...the operational meaning of  $(\text{cmd} \gg \text{cmd}')$ :



$$\text{cmd} \gg \text{cmd}' \hat{=} \text{cmd} \gg \backslash\_ \rightarrow \text{cmd}'$$

# Illustrating

...the operational meaning of `return`:



Component with 'procedural' behaviour: 'empty'; no IO operation, no side effect.

Component with 'functional' behaviour: Forwards the `a`-value as the result of the command.

Command `return :: a -> IO a`

# The Type

...of all **read commands** is

- **(IO a)** (for type instances **a** whose values can be read).

The **a**-value into which the read value is transformed serves as the (formally required and actually wanted) result of read operations.

...of all **write commands** is

- **(IO ())**, where **()** is the singleton **null tuple type** with the single unique element **()**.

**()** as (the one and only) value of the null tuple type **()** serves as the **formally required** result of write operations.

# The I/O Monad viewed as a State Monad

...the `input/output monad` is similar in spirit to the `state monad`: It passes around the “`state of the world!`”

For a suitable type `World` whose values represent the

- `states of the world`

`interactive programs` (or `IO-programs`) can informally be considered functions of a type `IO` with:

- “`type IO = (World -> World)`”

In order to reflect that `interactive programs` do not only modify the state of the world but may also `return` a `result`, e.g., the `Int`-value of a sequence of characters that has been read from the keyboard and interpreted as an integer, this leads to changing the informal type of `IO-programs` from `IO` to `(IO a)`:

- “`type IO a = (World -> (World, a))`”



# The Input/Output Monad (1)

...allows switching from a **batch**-like handling of **input/output**:



Peter Pepper. *Funktionale Programmierung*. Springer-Verlag, 2003, p. 245.

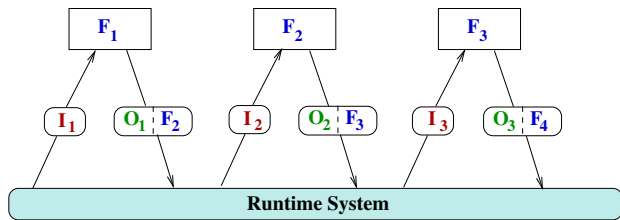
where

- all input data must be provided at the very beginning
- there is **no interaction** between a **program** and a **user** (i.e., once called there is no opportunity for the user to react on a program's response and behaviour)

to a...

## The Input/Output Monad (2)

...truly interactive handling of **input/output** in terms of sequentially composed **dialogue components**, while preserving **referential transparency** as far as possible:



Peter Pepper. *Funktionale Programmierung*.  
Springer-Verlag, 2003, p. 253.

Note that **input/output** operations are a **major source** for **side effects**: read statements e.g. will yield different values for every call causing unavoidably the loss of **referential transparency**.

# Examples: Simple IO Programs (1)

...a [question/response interaction](#) with a user:

```
ask :: String -> IO String
ask question = do putStrLn question
                  getLine

interAct :: IO ()
interAct =
    do name <- ask "May I ask your name?"
       putStrLn ("Welcome " ++ name ++ "!!")
```

## Examples: Simple IO Programs (2)

...input/output from and to files:

```
type FilePath = String    -- file names according
                           -- to the conventions of
                           -- the operating system
```

```
writeFile  :: FilePath -> String -> IO ()
```

```
appendFile :: FilePath -> String -> IO ()
```

```
readFile   :: FilePath -> IO String
```

```
isEOF      :: FilePath -> IO Bool
```

```
interAct  :: IO ()
```

```
interAct = do putStr "Please input a file name: "
              fname <- getLine
              contents <- readFile fname
              putStr contents
```

## Examples: Simple IO Programs (3)

...the sequence of [input/output commands](#) with [local declarations](#) within a `do`-construct

```
reverse2lines :: IO ()
reverse2lines = do line1 <- getLine
                   line2 <- getLine
                   let rev1 = reverse line1
                       rev2 = reverse line2
                   putStrLn rev2
                   putStrLn rev1
```

is [equivalent](#) to the following one without:

```
reverse2lines :: IO ()
reverse2lines = do line1 <- getLine
                   line2 <- getLine
                   putStrLn (reverse line2)
                   putStrLn (reverse line1)
```

## Examples: Simple IO Programs (4)

...sequences of (canonic) **monadic operations**:

```
writeFile "testFile.txt" "Hello File System!"  
>> putStr "Hello World!" >> putStr "Oh, yeah."
```

can be replaced by their equivalent **do**-expressions:

```
do writeFile "testFile.txt" "Hello File System!"  
  putStr "Hello World!"  
  putStr "Oh, yeah."
```

## Examples: Simple IO Programs (5)

...note the sometimes subtle differences in the representation of values of **output** and **non-output** types.

### Output types:

```
Main>putStr ('a':('b':('c':[])))    Main>putChar (head ['x','y','z'])
->> abc :: IO ()                  ->> x :: IO ()
```

### Non-output types:

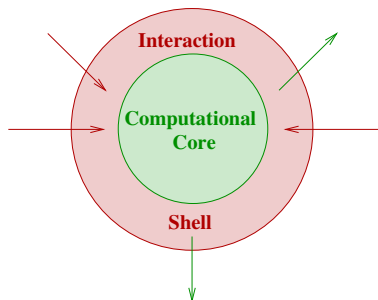
```
Main>('a':('b':('c':[])))          Main>head ['x','y','z']
->> "abc" :: [Char]                ->> 'x' :: Char

Main>print "abc"                    Main>print 'x'
->> "abc" :: IO ()                 ->> 'a' :: IO ()
```

# Monadic Input/Output in Haskell

...allows us to conceptually think of a Haskell program as being composed of a

- purely functional computational core
- procedural-like interaction shell.



Manuel Chakravarty, Gabriele Keller. *Einführung in die Programmierung mit Haskell*. Pearson, 2004, p. 89.



# The Conceptual Separation

...of functions belonging to the

- **computational core** (pure functions)
- **interaction shell** (impure functions, i.e., performing input/output operations causing side effects).

is achieved by assigning different **types** to them:

- **Int**, **Real**, **String**,... vs. **IO Int**, **IO Real**, **IO String**,...

with the type constructor **IO** a pre-defined **monad**.

The **monadic implementation** of **input/output** allows us

- precisely specify the evaluation order of functions of the interaction shell (i.e., basic **input/output** primitives provided by Haskell) by using the **monadic sequencing** operations (**>>=**) and (**>>**).

...see e.g. lecture notes of **LVA 185.A03 Funktionale Programmierung** for further details and examples.

# Chapter 12.5

## Monadic Programming

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# Monadic Programming

...we consider [three examples](#) for [illustration](#):

1. [Folding trees](#) by adding the values of their numerical labels.
2. [Numbering tree labels](#) (and overwriting the original labels).
3. [Renaming tree labels](#) by the number of their occurrences.

The first two examples are handled

- without
- with

[monads](#) in order to [oppose](#) and [illustrate](#) the [relative merits](#) of the [two programming styles](#).

# Chapter 12.5.1

## Folding Trees

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# The Setting

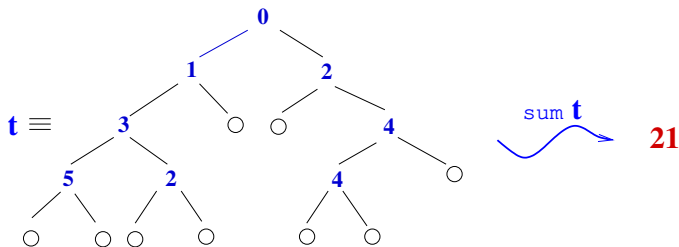
Given:

```
data Tree a = Nil | Node a (Tree a) (Tree a)
```

Objective:

- Write a function that computes the sum of the values of all labels of a tree of type `Tree Int`.

Illustration:



# For Comparison

...we consider three **approaches**:

1. w/out monads
2. w/ monads
3. w/ monads followed by **unpacking** the monadic result.

# 1st Approach: Straightforward w/out Monads

...using a [recursive](#) function:

```
sum :: Tree Int -> Int
sum Nil           = 0
sum (Node n t1 t2) = n + sum t1 + sum t2
```

Note:

- The [evaluation order](#) of the right-hand term of the (non-trivial) defining equation of `sTree` is [not fixed](#); only [data dependencies](#) need to be respected.
- This leaves interpreter and compiler a [degree of freedom](#) in picking an evaluation order.
- This freedom can not be broken by a programmer by using a specific right-hand side term:

```
sum (Node n t1 t2) = n + sum t1 + sum t2
sum (Node n t1 t2) = sum t2 + n + sum t1
...
sum (Node n t1 t2) = sum t2 + sum t1 + n
```

## 2nd Approach: Using the Identity Monad

...using the `identity monad` `Id`:

```
sum' :: Tree Int -> Id Int
sum' Nil = return 0
sum' (Node n t1 t2) =
  do s2 <- sum' t2      -- Evaluating right subtree
     num <- return n    -- Bounding n :: Int to num
     s1 <- sum' t1      -- Evaluating left subtree
     return (s2+num+s1) -- Yielding Id (num+s1+s2) ::
                        -- Id Int as result
```

Note:

- The evaluation order of the defining 'equations' for `s2`, `n`, and `s1` is **explicitly fixed**; there is no degree of freedom for the sequence in which values are bound to them.
- Changing their order allows the programmer to enforce a different evaluation order.
- Note, this does not apply to evaluating `s2+num+s1`.



# Recall

...the definition of the **identity monad** `Id`:

```
newtype Id a = Id a

instance Monad Id where
  (Id x) >>= f = f x
  return      = Id
```

...and the overloading of `Id`:

- `Id`: 1-ary **type** constructor, i.e., if `a` is a type variable, then `Id a` denotes a type.
- `Id`: 1-ary **data** (or **value**) constructor, i.e., if `x :: a`, then `Id x` is a value of type `Id a`: `Id x :: Id a`.

# Illustrating the Imperative Flavour of `sum'`

...unlike `sum`, `sum'` enjoys an 'imperative' flavour quite similar to sequentially sequencing assignment statements of some imperative programming language:

## Imperative

```
s2 := sumTree t2;
s1 := sumTree t1;
num := n;
return (s2+s1+num);
```

## Monadic

```
do s2 <- sumTree t2
   s1 <- sumTree t1
   num <- return n
   return (s2+s1+num)
```

**Note:** Just for folding a tree, a **monadic approach** might be considered too 'heavy' and a **foldable approach** with tree an instance of class **Foldable** more lightweight. If, however, for some reason it is important that subtrees are folded in a particular order, this can be achieved by the monadic approach, however, not by the foldable one.

## 3rd Approach: Unpacking the Monadic Result

...to this end we introduce an **extraction function** unpacking a monadic value:

```
extract :: Id a -> a
extract (Id x) = x
```

This allows function `sum''` yielding again an `Int`-value (instead of a monadic one):

```
sum'' :: Tree Int -> Int
sum'' = extract . sum'
```

**Example:**

```
t = (Node 5 (Node 3 Nil Nil) (Node 7 Nil Nil))
sum'' t ->> (extract . sum') t
         ->> extract (sum' t)
         ->> extract (Id 15)
         ->> 15
```

# Chapter 12.5.2

## Numbering Tree Labels

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# The Setting

Given:

```
data Tree a = Leaf a | Branch (Tree a) (Tree a)
```

Objective:

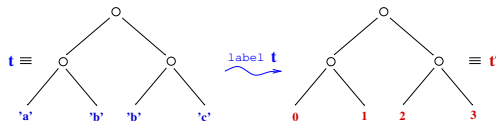
- Replace the labels of leafs by continuous natural numbers.

Illustration: The tree value  $t :: \text{Tree Char}$ :

```
t = Branch (Branch (Leaf 'a') (Leaf 'b'))  
          (Branch (Leaf 'b') (Leaf 'c'))
```

shall be transformed into the tree value  $t' :: \text{Tree Int}$ :

```
t' = Branch (Branch (Leaf 0) (Leaf 1))  
          (Branch (Leaf 2) (Leaf 3))
```



# For Comparison

...we consider two approaches:

1. w/out monads
2. w/ monads

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# 1st Approach: Straightforward w/out Monads

...using a pair of functions, one of which a [recursive](#) supporting function:

```
label :: Tree a -> Tree Int
label t = snd (lab t 0)

lab :: Tree a -> Int -> (Int, Tree Int)
lab (Leaf a) n = (n+1, Leaf n)
lab (Branch t1 t2) n
  = let (n1,t1') = lab t1 n
        (n2,t2') = lab t2 n1
      in (n2, Branch t1' t2')
```

**Note:** The solution is simple and straightforward but passing the counter value `n` through the incarnations of `lab` is [tedious](#) and [intricate](#).

## 2nd Approach: Using the Spec. State Monad (1)

...using the pattern of the specialized state monad `State'`:

```
newtype Label a = Lab (Int -> (Int, a))
```

```
instance Monad Label where
```

```
Lab lt >>= flt = Lab $ \n -> let (n', x) = lt n
                                Lab lt' = flt x
                                in lt' n'
```

```
return x      = Lab (\n -> (n, x))
```

Note:

- The `$`-operator in the defining equation of `(>>=)` can be replaced by bracketing: `(\n -> let ... in lt' n')`.
- For the state monad `Label` the monad operations `(>>=)` and `return` have the types:

```
(>>=) :: Label a -> (a -> Label b) -> Label b
return :: a -> Label a
```



## 2nd Approach: Using the Spec. State Monad (2)

...the renaming of labels is now achieved by using:

```
label' :: Tree a -> Tree Int
label' t = let Lab lt = lab' t
           in snd (lt 0)
```

```
lab' :: Tree a -> Label (Tree Int)
```

```
lab' (Leaf a) = do n <- get_label
                 return (Leaf n)
```

```
lab' (Branch t1 t2) = do t1' <- lab' t1
                        t2' <- lab' t2
                        return (Branch t1' t2')
```

```
get_label :: Label Int
```

```
get_label = Lab (\n -> (n+1,n))
```

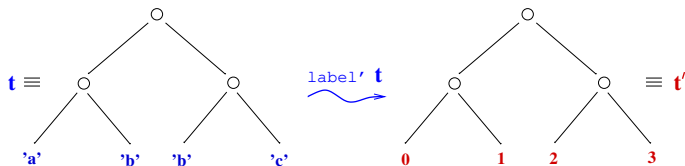
## 2nd Approach: Using the Spec. State Monad (3)

Example: Applying `label'` to tree value `t`:

```
t = Branch (Branch (Leaf 'a') (Leaf 'b'))  
          (Branch (Leaf 'b') (Leaf 'c'))
```

...we get as desired:

```
label' t ->> Branch (Branch (Leaf 0) (Leaf 1))  
                  (Branch (Leaf 2) (Leaf 3))  
                ≡ t'
```



# Chapter 12.5.3

## Renaming Tree Labels

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# The Setting

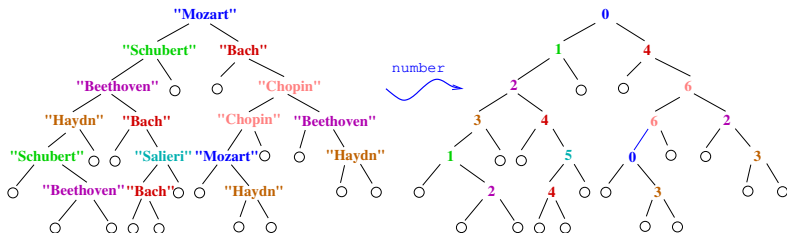
Given:

```
data Tree a = Nil | Node a (Tree a) (Tree a)
```

Objective:

- Rename labels of equal `a`-value by the same natural number.

Illustration:



# Ultimate Goal

...a function `number` of type

```
number :: Eq a => Tree a -> Tree Int
```

solving this task using the `state monad State`.

# Towards the Monadic Approach (1)

We start defining:

```
number_tree :: Eq a => Tree a -> State a (Tree Int)
number_tree Nil = return Nil
number_tree (Node x t1 t2) =
    = do num <- number_node x
         nt1 <- number_tree t1
         nt2 <- number_tree t2
         return (Node num nt1 nt2)
```

...post-poning the implementation of `number_node`.

## Towards the Monadic Approach (2)

Additionally, we introduce a `table` type

```
type Table a = [a]
```

for storing `pairs` of the form

```
(<string>, <number of occurrences>)
```

In particular, the list (or table) value

```
[True, False]
```

encodes that `True` represents (or is associated with) `0` and `False` with `1`.

# Mon. Approach: Using the State Monad (1)

...using the pattern of the state monad `State st`:

```
newtype State a b = St (Table a -> (Table a, b))
```

```
instance Monad (State a) where
```

```
  (St st) >>= f
```

```
    = St (\tab -> let (tab', y) = st tab
                   (St transf) = f y
                   in transf tab')
```

```
  return x = St (\tab -> (tab, x))
```

Intuitively:

- Computing `b`-values: The (functional) `result`
- Updating tables: The `side effect`

...of the monadic operations.



## Mon. Approach: Using the State Monad (2)

...providing the post-poned implementation of `number_node`:

```
number_node :: Eq a => a -> (State a) Int
number_node x = St (num_node x)

num_node :: Eq a => a -> (Table a -> (Table a, Int))
num_node x table
  | elem x table = (table,      lookup x table)
  | otherwise    = (table ++ [x], length table)
-- num_node yields the position of x in the table:
-- if x is stored in the table, using lookup; if
-- not, after adding x to the table using length.

lookup :: Eq a => a -> Table a -> Int
lookup x table = ... -- Homework: Completing the
                    -- implementation of lookup.
```

## Mon. Approach: Using the State Monad (3)

Putting the pieces together, `number_tree` is fully defined:

```
number_tree :: Eq a => Tree a -> State a (Tree Int)
number_tree Nil = return Nil
number_tree (Node x t1 t2)
    = do num <- number_node x
         nt1 <- number_tree t1
         nt2 <- number_tree t2
         return (Node num nt1 nt2)
```

**Note**, for every value `t :: Eq a => Tree a`, e.g., the tree of the illustrating example, we can conclude (functional and hence) type correctness:

```
number_tree t :: State a (Tree Int)
               ≡ (State a) (Tree Int)
               ≡ ((State a) (Tree Int))
```

# Mon. Approach: Using the State Monad (4)

...introducing and using the `extraction` function:

```
extract :: State a b -> b
extract (St st) = snd (st [])
```

we get the implementation of the initially envisioned function `number`:

```
number :: Eq a => Tree a -> Tree Int
number = extract . number_tree
```

# Chapter 12.6

## Monad-Plusses

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# Chapter 12.6.1

## The Type Constructor Class MonadPlus

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# The Type Constructor Class MonadPlus

...monads with a 'plus' operation and a 'zero' element, which is a unit for 'plus' and a zero for ( $\gg=$ ), can be instances of the type constructor class `MonadPlus` obeying the monad-plus laws:

## Type Constructor Class MonadPlus

```
class Monad m => MonadPlus m where
  mzero  :: m a
  mplus  :: m a -> m a -> m a
```

## Monad-Plus Laws

<code>m &gt;&gt;= (\_ -&gt; mzero)</code>	<code>= mzero</code>	(MPL1)
<code>mzero &gt;&gt;= m</code>	<code>= mzero</code>	(MPL2)
<code>m 'mplus' mzero</code>	<code>= m</code>	(MPL3)
<code>mzero 'mplus' m</code>	<code>= m</code>	(MPL4)

# Note

...`MonadPlus` instances are `monads` and thus must satisfy in addition to the `monad-plus laws` also all `monad laws`.

Intuitively, the `monad-plus` laws require from (proper) `monad-plus` instances:

- `mzero` is `left-zero` and `right-zero` for `(>>=)`.
- `mzero` is `left-unit` and `right-unit` for `mplus`.

Programmer obligation:

- Programmers **must prove** that their instances of `MonadPlus` satisfy the `monad` and `monad-plus` laws.

**Note:** The `IO` monad can not be made an instance of `MonadPlus` because it is lacking an appropriate `'zero'` element.

# Chapter 12.6.2

## The List Monad-Plus

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# The List Monad-Plus

...making the 1-ary type constructor `[]` an instance of `MonadPlus`:

```
instance MonadPlus [] where           -- note the over-
    mzero = []                       -- loading of Id
    mplus = (++)
```

Proof obligation: The Monad-Plus Laws

## Lemma 12.6.2.1 (Soundness of List Monad-Plus)

The `[]` instance of `MonadPlus` satisfies all `monad` and `monad-plus` laws.

... `[]` is thus a proper instance of `MonadPlus`, the so-called `list monad-plus`.

# Chapter 12.6.3

## The Maybe Monad-Plus

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# The Maybe Monad-Plus

...making the 1-ary type constructor `Maybe` an instance of `MonadPlus`:

```
instance MonadPlus Maybe where
  mzero           = Nothing
  Nothing 'mplus' ys = ys
  xs 'mplus' ys   = xs
```

Proof obligation: The Monad-Plus Laws

## Lemma 12.6.3.1 (Soundness of Maybe Monad-Plus)

The `Maybe` instance of `MonadPlus` satisfies all `monad` and `monad-plus` laws.

...`Maybe` is thus a proper instance of `MonadPlus`, the so-called `maybe monad-plus`.

# Chapter 12.7

## Summary

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# Summary

Monads (i.e., instances of the type constructor class `Monad`) combine features of

- functors and functional composition/sequencing:

$$\begin{aligned} (>>=) &:: m\ a \rightarrow (a \rightarrow m\ b) \rightarrow m\ b \\ c >>= k >>= k' >>= k'' >>= \dots \end{aligned}$$

Monads are thus well-suited for

- structuring and ordering the steps of a computation

because the monadic sequencing operations `(>>=)` and `(>>)`

- allow specifying the order of computations explicitly.
- offer an adequately high abstraction by decoupling the data type forming a monad (instance) from the structure of computation.
- support equational reasoning, e.g., in terms of the monad laws.

# Monads

...are often considered of being fanned by an aura of something

- **mystic**, **wondrous** that is **difficult to grasp** and lets monads appear the **Holy Grail** of functional programming (*'once I will have understood monads, I will have understood functional programming'*).

This (slightly odd) image of **monads** might be due to the origin and ties of the **monad** notion to (possibly often difficult considered) fields like

- **philosophy**, **category theory**, **programming languages theory** and **semantics**.

# Recall

## Monads in Leibniz' Philosophy:

### Definition (Gottfried Wilhelm Leibniz, 1714)

[Monadology, Paragraph 1]: The **monad** we want to talk about here is nothing else as a simple substance (German: Substanz), which is contained in the composite matter (German: Zusammengesetztes); simple means as much as: to be without parts.

## Monads in Category Theory (cf. Saunders Mac Lane, 1971):

### Definition (Eugenio Moggi, 1989)

[LICS'89]: A **monad over a category  $\mathcal{C}$**  is a triple  $(T, \eta, \mu)$ , where  $T : \mathcal{C} \rightarrow \mathcal{C}$  is a functor,  $\eta : Id_{\mathcal{C}} \rightarrow T$  and  $\mu : T^2 \rightarrow T$  are natural transformations and the following equations hold:

$$\begin{aligned}\mu_{TA}; \mu_A &= T(\mu_a); \mu_A \\ \eta_{TA}; \mu_A &= id_{TA} = T(\eta_A); \mu_A\end{aligned}$$

... "a monad is a monoid in the category of endofunctors."

# But Remember

...the **monad** notion in **functional programming** (in **Haskell**, too) lost its connection to the **monad** notion in **philosophy** and **category theory** (almost) completely, and hence, everything which might or might be considered a mystery or miracle.

Rather than introducing a mystery, **monads** and **monadic sequencing** in **functional programming** close a 'functional gap' between **function application**, **sequential function composition**, and **functorial mapping**.



# On the Closing of a 'Functional Gap' (1)

...smashing the myth behind functional programming monads.

## ► Function application ('mapping over'):

$(\$) :: (a \rightarrow b) \rightarrow a \rightarrow b$

$g \$ x = g x$

– Special case ( $m$  a for  $a$ ,  $m$  b for  $b$ ):

$(\$) :: (m a \rightarrow m b) \rightarrow m a \rightarrow m b$

$g \$ x = g x$

## ► Sequential function composition ('sequencing'):

$(.) :: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c)$

$(f . g) x = f (g x)$

– Special case ( $m$  a for  $a$ ,  $m$  b for  $b$ ,  $m$  c for  $c$ ):

$(.) :: (m b \rightarrow m c) \rightarrow (m a \rightarrow m b) \rightarrow (m a \rightarrow m c)$

$(f . g) x = f (g x)$

...one implementation fits all types: Parametric polymorphism

## On the Closing of a 'Functional Gap' (2)

- ▶ Functorial mapping ('mapping over'):

`fmap` :: (Functor `f`) => (a -> b) -> `f` a -> `f` b

`fmap g c = ... '(unpack, map, pack)'`

`(<*>)` :: (Applicative `f`) => `f` (a -> b) -> `f` a -> `f` b

`(<*>)` `k c = ... '(unpack, unpack, map, pack)'`

- ▶ (Monadic) mapping plus sequencing:

`(>>=)` :: (Monad `m`) => `m` a -> (a -> `m` b) -> `m` b

`(>>=)` `c k = k 'unpack c'`

`'(unpack, map, repeat >>=)'`

...type-specific instance implementations required for 1-ary type constructors: *Ad hoc* polymorphism

# Commonalities of Functions at a Glimpse

...compare (same color means 'correspond to each other'):

```
(.) :: (b -> c) -> (a -> b) -> (a -> c)
(f . g) x = f (g x)
```

```
(;) :: (a -> b) -> (b -> c) -> (a -> c)
(f ; g) = g . f
```

-- pointfree

```
(>>;) :: a -> (a -> b) -> b
x >>; f = f x
```

-- Non-monadic operations

---

```
(>>.) :: Monad m => (m b -> m c) -> (m a -> m b) -> (m a -> m c)
(>>.) = (.)
```

-- Monadic operations

```
(>>=) :: Monad m => m a -> (a -> m b) -> m b
m >>= k = k 'unpack m'
```

```
(>@>) :: Monad m => (a -> m b) -> (b -> m c) -> (a -> m c)
f >@> g = \x -> (f x) >>= g
```

-- pointfree

# Chapter 12.8

## References, Further Reading

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# Chapter 12: Basic Reading (1)

-  Manuel Chakravarty, Gabriele Keller. *Einführung in die Programmierung mit Haskell*. Pearson Studium, 2004. (Kapitel 7, Eingabe und Ausgabe)
-  Ernst-Erich Doberkat. *Haskell: Eine Einführung für Objektorientierte*. Oldenbourg Verlag, 2012. (Kapitel 5, Ein-/Ausgabe; Kapitel 7, Monaden)
-  Paul Hudak. *The Haskell School of Expression: Learning Functional Programming through Multimedia*. Cambridge University Press, 2000. (Chapter 18.2, The Monad Class; Chapter 18.3, The MonadPlus Class; Chapter 18.4, State Monads)
-  Graham Hutton. *Programming in Haskell*. Cambridge University Press, 2007. (Chapter 10.6, Class and Instance Declarations – Monadic Types)




## Chapter 12: Basic Reading (2)

-  Miran Lipovača. *Learn You a Haskell for Great Good! A Beginner's Guide*. No Starch Press, 2011. (Chapter 13, A Fistful of Monads; Chapter 14, For a Few Monads More)
-  Simon Peyton Jones, Philip Wadler. *Imperative Functional Programming*. In Conference Record of the 20 ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages (POPL'93), 71-84, 1993.
-  Simon Thompson. *Haskell: The Craft of Functional Programming*. Addison-Wesley/Pearson, 3rd edition, 2011. (Chapter 18, Programming with monads)
-  Philip Wadler. *Comprehending Monads*. *Mathematical Structures in Computer Science* 2:461-493, 1992.

# Chapter 12: Selected Advanced Reading (1)



-  A (Reasonably) Comprehensive List of Tutorials on Monads: [haskell.org/haskellwiki/Monad\\_tutorials](http://haskell.org/haskellwiki/Monad_tutorials).
-  John Launchbury, Simon Peyton Jones. *State in Haskell*. Lisp and Symbolic Computation 8(4):293-341, 1995.
-  Martin Odersky. *Funktionale Programmierung*. In Informatik-Handbuch, Peter Rechenberg, Gustav Pomberger (Hrsg.), Carl Hanser Verlag, 4. Auflage, 599-612, 2006. (Kapitel 5.3, Funktionale Komposition: Monaden, Beispiele für Monaden)

## Chapter 12: Selected Advanced Reading (2)

-  Bryan O'Sullivan, John Goerzen, Don Stewart. *Real World Haskell*. O'Reilly, 2008. (Chapter 7, I/O – The I/O Monad; Chapter 14, Monads; Chapter 15, Programming with Monads; Chapter 16, Using Parsec – Applicative Functors for Parsing; Chapter 18, Monad Transformers; Chapter 19, Error Handling – Error Handling in Monads)
-  Philip Wadler. *Monads for Functional Programming*. In Johan Jeuring, Erik Meijer (Eds.), *1st Int. Spring School on Advanced Functional Programming Techniques*. Springer-V., LNCS 925, 24-52, 1995.
-  Philip Wadler. *How to Declare an Imperative*. *ACM Computing Surveys* 29(3):240-263, 1997.



## Chapter 12: Selected Advanced Reading (3)

-  Simon Peyton Jones. *Tackling the Awkward Squad: Monadic Input/Output, Concurrency, Exceptions, and Foreign-language Calls in Haskell*. In Tony Hoare, Manfred Broy, Ralf Steinbruggen (Eds.), *Engineering Theories of Software Construction*, IOS Press, 47-96, 2001 (Presented at the 2000 Marktoberdorf Summer School).
-  Wouter S. Swierstra, Thorsten Altenkirch. *Beauty in the Beast: A Functional Semantics for the Awkward Squad*. In *Proceedings of the ACM SIGPLAN Workshop on Haskell (Haskell 2007)*, 25-36, 2007.

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# Chapter 12: Background Reading

-  René Descartes. *Meditationes de prima philosophia*. 1641.
-  Gottfried Wilhelm Leibniz. *Monadology* (Original in French). 90 Paragraphen, 1714.
-  Saunders Mac Lane. *Categories for the Working Mathematician*. Springer-V., 1971 (2nd edition, 1998).
-  Eugenio Moggi. *Computational Lambda Calculus and Monads*. In Proceedings of the 4th Annual IEEE Symposium on Logic in Computer Science (LICS'89), 14-23, 1989.
-  Eugenio Moggi. *Notions of Computation and Monads*. *Information and Computation* 93(1):55-92, 1991.
-  Thomas Petricek. *What We Talk about when We Talk about Monads*. *The Art, Science, and Engineering of Programming* 2(3), Article 12, 1-27, 2018.

# Chapter 13

## Arrows

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# Chapter 13.1

## Motivation

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# Motivation

...monads do not always suffice.

The higher-order type constructor class `Arrow`

- complements the type class `Monad`

with a complementary mechanism for

- composing and sequencing functions

which support 2-ary type constructors and is useful e.g. for:

- electronic circuits modelling (this chapter)
- functional reactive programming (cf. Chapter 18).

# Chapter 13.2

## The Type Constructor Class Arrow

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# The Type Constructor Class Arrow

Arrows are instances of the type constructor class `Arrows` obeying the arrow laws:

```
class Arrow a where
  pure  :: (b -> c) -> a b c
      -- equivalently: pure :: ((->) b c) -> a b c
  (>>>) :: a b c -> a c d -> a b d
  first :: a b c -> a (b,d) (c,d)
```

## Note:

- `pure` allows embedding of ordinary maps into the constructor class `Arrow` (the role of `pure` for maps is similar to the role of `return` in class `Monad` for values of type `a`).
- `(>>>)` serves the composition of computations.
- `first` has as an analogue on the level of ordinary functions: The function `firstfun` with  
`firstfun f = \ (x,y) -> (f x, y)`

# The Arrow Laws

...proper instances of `Arrow` must satisfy the following nine arrow laws:

## Arrow Laws

<code>pure id &gt;&gt;&gt; f = f</code>	(ArrL1): identity
<code>f &gt;&gt;&gt; pure id = f</code>	(ArrL2): identity
<code>(f &gt;&gt;&gt; g) &gt;&gt;&gt; h = f &gt;&gt;&gt; (g &gt;&gt;&gt; h)</code>	(ArrL3): associativity
<code>pure (g . f) = pure f &gt;&gt;&gt; pure g</code>	(ArrL4): functor composition
<code>first (pure f) = pure (f × id)</code>	(ArrL5): extension
<code>first (f &gt;&gt;&gt; g) = first f &gt;&gt;&gt; first g</code>	(ArrL6): functor
<code>first f &gt;&gt;&gt; pure (id × g) = pure (id × g) &gt;&gt;&gt; first f</code>	(ArrL7): exchange
<code>first f &gt;&gt;&gt; pure fst = pure fst &gt;&gt;&gt; f</code>	(ArrL8): unit
<code>first (first f) &gt;&gt;&gt; pure assoc = pure assoc &gt;&gt;&gt; first f</code>	(ArrL9): association



# Utility Functions for Arrows (1)

The product map  $\times$ :\*)

$$(\times) :: (a \rightarrow a') \rightarrow (b \rightarrow b') \rightarrow (a, b) \rightarrow (a', b')$$
$$(f \times g) \sim (a, b) = (f\ a, g\ b)$$

Regrouping arguments via `assoc`, `unassoc`, and `swap`:\*)

$$\text{assoc} :: ((a, b), c) \rightarrow (a, (b, c))$$
$$\text{assoc} \sim (\sim(x, y), z) = (x, (y, z))$$
$$\text{unassoc} :: (a, (b, c)) \rightarrow ((a, b), c)$$
$$\text{unassoc} \sim (x, \sim(y, z)) = ((x, y), z)$$
$$\text{swap} :: (a, b) \rightarrow (b, a)$$
$$\text{swap} \sim (x, y) = (y, x)$$

The dual analogue of `first`, `map second`:

$$\text{second} :: \text{Arrow } a \Rightarrow a\ b\ c \rightarrow a\ (d, b)\ (d, c)$$
$$\text{second } f = \text{pure } \text{swap} \gg \gg \text{first } f \gg \gg \text{pure } \text{swap}$$

\*) Refer to [Chapter 2.5.1](#) for lazy patterns like  $\sim(a, b)$ .

## Utility Functions for Arrows (2)

Derived operators for arrows:

```
(***) :: Arrow a => a b c -> a b' c' ->  
                                             a (b,b') (c,c')
```

```
f *** g = first f >>> second g
```

```
(&&&) :: Arrow a => a b c -> a b c' -> a b (c,c')
```

```
f &&& g = pure (_-> (b,b)) >>> (f *** g)
```

```
idA :: Arrow a => a b b
```

```
idA = pure id
```

# Chapter 13.3

## The Map Arrow

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# The Map Arrow

...making the 2-ary type constructor  $(\rightarrow)$  an instance of `Arrow`:

```
instance Arrow ( $\rightarrow$ ) where
```

```
  pure f = f
```

```
  f >>> g = g . f
```

```
  first f = f  $\times$  id
```

where

```
( $\times$ ) :: (b  $\rightarrow$  c)  $\rightarrow$  (d  $\rightarrow$  e)  $\rightarrow$  (b,d)  $\rightarrow$  (c,e)
```

```
(f  $\times$  g) ~ (bv,dv) = (f bv, g dv) :: (c,e)
```

**Note:** Defining `first f = \ (b,d)  $\rightarrow$  (f b, d) is equivalent.`

Proof obligation: The arrow laws

## Lemma 13.3.1 (Arrow Laws for $(\rightarrow)$ )

The  $(\rightarrow)$  instance of `Arrows` satisfies the 9 arrow laws.

... $(\rightarrow)$  is thus a proper instance of `Arrow`, the so-called `map arrow`.

# The Map Arrow in More Detail

...with added type information:

```
class Arrow a where
  pure  :: ((->) b c) -> a b c
  (>>>) :: a b c -> a c d -> a b d
  first :: a b c -> a (b,d) (c,d)
```

...making `(->)` an instance of `Arrow` means constructor `a` equals `(->)`:

```
instance Arrow (->) where
  pure f      = f
  :: (->) b c  :: (->) b c

  f >>> g     = g . f
  :: (->) b c :: (->) c d :: (->) b d

  first f     = f × id
  :: (->) b c :: (->) (b,d) (c,d)
```

**Recall:** Defining `first` by `first f = \ (b,d) -> (f b, d)` is equivalent.

# Note

`(>>>)` :: Arrow a => a b c -> a c d -> a b d

...introduces [composition](#) for 2-ary type constructors.

This means, for the `map` instance of class `Arrow`:

```
instance Arrow (->) where
  pure f    = f
  f >>> g  = g . f
  first f  = f × id
```

[arrow composition](#) boils down to:

- ordinary functional composition, i.e.: `(>>>) = (.)`

# Chapter 13.4

## Application: Modelling Electronic Circuits

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# A Notion of Computation

The map `add` introduces a notion of computation:

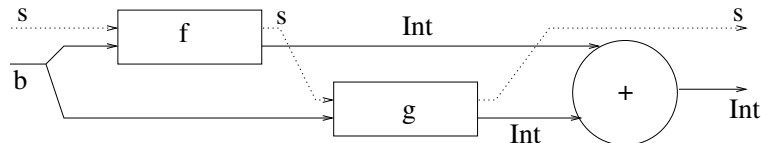
```
add :: (b -> Int) -> (b -> Int) -> (b -> Int)
add f g z = f z + g z
```

...which can be **generalized** in various ways, e.g., to

- state transformers
- non-determinism
- map transformers
- simple automata

for modelling electronic circuits.

Illustration:





# Towards Modelling Electronic Circuits (1)

...generalizing `add` to state transformers:

```
type State s i o = (s,i) -> (s,o)
```

```
addST :: State s b Int -> State s b Int ->  
                                             State s b Int
```

```
addST f g (s,z) = let (s',x) = f (s,z)  
                    (s'',y) = g (s',z)  
                    in (s'',x+y)
```

# Towards Modelling Electronic Circuits (2)

...generalizing `add` to non-determinism:

```
type NonDet i o = i -> [o]
```

```
addND :: NonDet b Int -> NonDet b Int ->  
                                             NonDet b Int
```

```
addND f g z = [ x+y | x <- f z, y <- g z ]
```

# Towards Modelling Electronic Circuits (3)

...generalizing `add` to `map transformers`:

```
type MapTrans s i o = (s -> i) -> (s -> o)
```

```
addMT :: MapTrans s b Int -> MapTrans s b Int ->  
                                             MapTrans s b Int
```

```
addMT f g m z = f m z + g m z
```

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# Towards Modelling Electronic Circuits (4)

...generalizing `add` to simple automata:

```
newtype Auto i o = A (i -> (o, Auto i o))
```

```
addAuto :: Auto b Int -> Auto b Int -> Auto b Int
```

```
addAuto (A f) (A g)
```

```
  = A (\z -> let (x,f') = f z
```

```
              (y,g') = g z
```

```
              in (x+y), addAuto f' g'))
```

# Putting all this together

...allows us

- modelling of synchronous circuits (with feedback loops).

Note:

- The preceding examples have in common that there is a type  $A \rightsquigarrow B$  of **computations**, where inputs of type  $A$  are transformed into outputs of type  $B$ .
- The type class **Arrow** yields a sufficiently general interface to describe these commonalities uniformly and to encapsulate them in a class.

# Returning to the Application

...we are now going to make the previously introduced types instances of the `type constructor class Arrow`. To this end, we reintroduce them as new types (using `newtype`):

```
newtype State s i o = ST ((s,i) -> (s,o))
```

```
newtype NonDet i o = ND (i -> [o])
```

```
newtype MapTrans s i o = MT ((s -> i) -> (s -> o))
```

```
newtype Auto i o = A (i -> (o, Auto i o))
```

# The State Transformer Arrow

...making `(State s)` an instance of `Arrow`:

```
newtype State s i o = ST ((s,i) -> (s,o))
```

```
instance Arrow (State s) where
```

```
  pure f          = ST (id × f)
```

```
  ST f >>> ST g = ST (g . f)
```

```
  first (ST f)   = ST (assoc . (f × id) . unassoc)
```

# The State Transformer Arrow in more Detail

...with added type information:

```
class Arrow a where
  pure  :: ((->) b c) -> a b c
  (>>>) :: a b c -> a c d -> a b d
  first :: a b c -> a (b,d) (c,d)
```

...making (State s) an instance of Arrow means type constructor variable a is set to (State s):

```
newtype State s i o = ST ((s,i) -> (s,o))
```

```
instance Arrow (State s) where
  pure f           = ST (id × f)
  :: (->) b c     >>> ST g           = ST (g . f)
  :: (State s) b c >>> ST g           = ST (g . f)
  first (ST f)    = ST (assoc . (f × id) . unassoc)
  :: (State s) b c >>> ST g           = ST (g . f)
  :: (State s) b c >>> ST g           = ST (g . f)
```



# The Non-Determinism Arrow

...making `NonDet` an instance of `Arrow`:

```
newtype NonDet i o = ND (i -> [o])
```

```
instance Arrow NonDet where
```

```
  pure f      = ND (\b -> [f b])
```

```
  ND f >>> ND g = ND (\b -> [d | c <- f b, d <- g c])
```

```
  first (ND f) = ND (\(b,d) -> [(c,d) | c <- f b])
```

# The Non-Determinism Arrow in more Detail

...with added type information:

```
class Arrow a where
  pure   :: ((->) b c) -> a b c
  (>>>) :: a b c -> a c d -> a b d
  first :: a b c -> a (b,d) (c,d)
```

...making `NonDet` an instance of `Arrow` means type constructor variable `a` is set to `NonDet`:

```
NonDet i o = ND (i -> [o])
```

```
instance Arrow NonDet where
```

```
  pure f           = ND (\b -> [f b])
  :: ((->) b c)    = ND (\b -> [f b])
  ND f >>> ND g    = ND (\b -> [d | c <- f b, d <- g c])
  :: NonDet b c   = ND (\b -> [d | c <- f b, d <- g c])
  :: NonDet c d   = ND (\b -> [d | c <- f b, d <- g c])
  :: NonDet b d   = ND (\b -> [d | c <- f b, d <- g c])
  first (ND f)    = ND (\(b,d) -> [(c,d) | c <- f b])
  :: NonDet b c   = ND (\(b,d) -> [(c,d) | c <- f b])
  :: NonDet (b,d) (c,d) = ND (\(b,d) -> [(c,d) | c <- f b])
```

# The Map Transformer Arrow

...making `(MapTrans s)` an instance of `Arrow`:

```
newtype MapTrans s i o = MT ((s -> i) -> (s -> o))
```

```
instance Arrow (MapTrans s) where
```

```
  pure f          = MT (f .)
```

```
  MT f >>> MT g = MT (g . f)
```

```
  first (MT f)   = MT (zipMap . (f x id) . unzipMap)
```

where

```
zipMap      :: (s -> a, s -> b) -> (s -> (a,b))
```

```
zipMap h s = (fst h s, snd h s)
```

```
unzipMap    :: (s -> (a,b)) -> (s -> a, s -> b)
```

```
unzipMap h = (fst . h, snd . h)
```

# The Map Transformer Arrow in more Detail

...with added type information:

```
class Arrow a where
  pure  :: ((->) b c) -> a b c
  (>>>) :: a b c -> a c d -> a b d
  first :: a b c -> a (b,d) (c,d)
```

...making `(MapTrans s)` an instance of `Arrow` means type constructor variable `a` is set to `(MapTrans s)`:

```
MapTrans s i o = MT ((s -> i) -> (s -> o))
```

```
instance Arrow (MapTrans s) where
```

```
  pure f           = MT (f .)
  :: (->) b c      = MT f
  :: (MapTrans s) b c
  >>>             = MT g
  :: (MapTrans s) c d
  =               = MT (g . f)
  :: (MapTrans s) b d
  first (MT f)    = MT (zipMap . (f x id) . unzipMap)
  :: (MapTrans s) b c
  :: (MapTrans s) (b,d) (c,d)
```

# The Automata Arrow

...making `Auto` an instance of `Arrow`:

```
newtype Auto i o = A (i -> (o, Auto i o))
```

```
instance Arrow Auto where
```

```
  pure f      = A (\b -> (f b, pure f))
```

```
  A f >>> A g = A (\b -> let (c,f') = f b
                           (d,g') = g c
                           in (d, f' >>> g'))
```

```
  first (A f) = A (\(b,d) -> let (c,f') = f b
                              in ((c,d),first f'))
```

# The Automata Arrow in more Detail

...with added type information:

```
class Arrow a where
  pure  :: ((->) b c) -> a b c
  (>>>) :: a b c -> a c d -> a b d
  first :: a b c -> a (b,d) (c,d)
```

...making `Auto` an instance of `Arrow` means type constructor variable `a` is set to `Auto`:

```
Auto i o = A (i -> (o, Auto i o))
```

```
instance Arrow Auto where
```

```
  pure f      = A (\b -> (f b, pure f))
```

$\underbrace{\text{pure } f}_{:: (->) b c}$

$\underbrace{= A (\backslash b \rightarrow (f b, \text{pure } f))}_{:: \text{Auto } b c}$

`A f >>>`

`A g`

$= A (\backslash b \rightarrow \text{let } (c, f') = f b$   
 $(d, g') = g c$   
 $\text{in } (d, f' \ggg g'))$

$\underbrace{:: \text{Auto } b c}$

$\underbrace{:: \text{Auto } c d}$

$\underbrace{:: \text{Auto } b d}$

`first (A f)`

`=`

$A (\backslash (b,d) \rightarrow \text{let } (c, f') = f b$   
 $\text{in } ((c,d), \text{first } f'))$

$\underbrace{:: \text{Auto } b c}$

$\underbrace{:: \text{Auto } (b,d) (c,d)}$

# Proof Obligation: The Arrow Laws

## Lemma 13.4.1 (Soundness: Arrow Laws)

The `state transformer`, `non-determinism`, `map transformer`, and `automata` instances of `Arrow` satisfy the arrow laws and are thus proper arrows.

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## Last but not least, it is worth noting

....that each of the considered variants of `add` results as a specialization of general combinator `addA` with the corresponding `arrow`-type:

```
addA :: Arrow a => a b Int -> a b Int -> a b Int
addA f g = f &&& g >>> pure (uncurry (+))
```



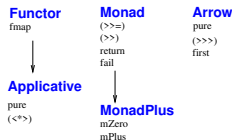
# Chapter 13.5

## An Update on the Haskell Type Class Hierarchy

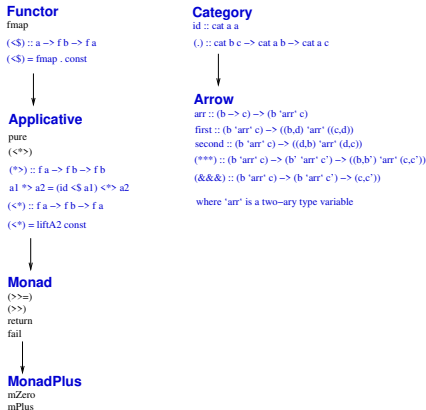
# An Update on the Haskell Type Class Hierarchy

...Haskell is a research vehicle and, hence, a moving target:

## Haskell'98



## Haskell'98 Onwards



...for more information, check out:

<https://wiki.haskell.org/Typeclassopedia>

# Chapter 13.6

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# Summing up

- Functions and programs often contain components that are ‘function-like’ ‘w/out being just functions.’
- **Arrows** define a common interface for coping w/ the “no-tion of computation” of such function-like components.
- **Monads** are a special case of **arrows**.
- Like **monads**, **arrows** allow to meaningfully structure the computation process of programs.
- **Arrow** combinators operate on ‘computations’, not on values. They are **point-free** in distinction to the ‘common case’ of functional programming.
- Analogous to the monadic case a **do**-like notational variant makes programming with **arrow** operations often easier and more suggestive (cf. literature hint at the end of the chapter), whereas the pointfree variant is more useful and advantageous for proof-theoretic reasoning.

# Chapter 13.7

## References, Further Reading

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# Chapter 13: Basic Reading

-  John Hughes. *Generalising Monads to Arrows*. Science of Computer Programming 37:67-111, 2000.
-  Ross Paterson. *A New Notation for Arrows*. In Proceedings of the 6th ACM SIGPLAN Conference on Functional Programming (ICFP 2001), 229-240, 2001.
-  Ross Paterson. *Arrows and Computation*. In Jeremy Gibbons, Oege de Moor (Eds.), *The Fun of Programming*. Palgrave MacMillan, 201-222, 2003.

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# Chapter 13: Selected Advanced Reading



Paul Hudak, Antony Courtney, Henrik Nilsson, John Peterson. *Arrows, Robots, and Functional Reactive Programming*. In Johan Jeuring, Simon Peyton Jones (Eds.) *Advanced Functional Programming – Revised Lectures*. Springer-V., LNCS Tutorial 2638, 159-187, 2003.

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# Concluding Note

...for additional information and details refer to

- ▶ full course notes

available in TUWEL and at the homepage of the course at:

[http://www.complang.tuwien.ac.at/knoop/  
ffp185A05\\_ss2021.html](http://www.complang.tuwien.ac.at/knoop/ffp185A05_ss2021.html)



# Assignment for Thursday, 22 April 2021

...independent study of [Part IV, Chapters 12 and 13](#) and of [Central and Control Questions IV](#) for self-assessment and as a basis of the flipped classroom session on [04/22/2021](#):

Lecture, Flipped Classroom	Topic Lecture	Topic Flip. Classr.
Thu, 03/04/2021, 4.15-6.00 pm	P. I, Ch. 1 P. II, Ch. 2	n.a. / Prel. Mtg.
Thu, 03/11/2021, 4.15-6.00 pm	P. IV, Ch. 7, 8 P. II, Ch. 3	P. I, Ch. 1 P. II, Ch. 2
Thu, 03/25/2021, 4.15-6.00 pm	P. II, Ch. 4 P. IV, Ch. 9–11, 14	P. IV, Ch. 7, 8 P. II, Ch. 3
<b>Thu, 04/15/2021, 4.15-6.00 pm</b>	<b>P. IV, Ch. 12, 13</b>	<b>P. II, Ch. 4 P. IV, Ch. 9–11, 14</b>
<b>Thu, 04/22/2021, 4.15-6.00 pm</b>	<b>P. III, Ch. 5, 6</b>	<b>P. IV, Ch. 12, 13</b>
Thu, 04/29/2021, 4.15-6.00 pm	P. V, Ch. 15, 16	P. III, Ch. 5, 6
Thu, 05/20/2021, 4.15-6.00 pm	P. V, Ch. 17, 18 P. VI, Ch. 19, 20	P. V, Ch. 15, 16