Today's Topic

Testing of programs

- Specification-based
- Tool-supported
- Automatically

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Questions

How can we gain (sufficient) confidence that...

- our programs are sound,
- other people's programs are sound?

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Answers

- Verification
 - Formal soundness proof (soundness of the specification, soundness of the implementation)
 - High confidence, high effort
- Testing
 - Variants: systematically vs. ad hoc
 - Controllable effort, undefined quality statement

Remember:

"Testing can only show the presence of errors, not their absence" (Dijkstra)

On the other hand...

Observation

Testing is...

• often amazingly successful in disclosing errors

Requirements

Reporting on...

- What has been tested?
- How thoroughly, how comprehensively has been tested?
- How was *success* defined?

Additionally desirable...

- Reproducibility of tests
- Repeated testing after program modifications

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Preconditions

Indispensable...

- Specification of the meaning of the program
 - Informally (commentary in the program, in a separate documentation)
 - ightsquigarrow ...often ambiguous, open to interpretation
 - Formally
 - → ...precise semantics, unique

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In the following

Specification-based, tool-supported testing in Haskell

- QuickCheck (a combinator library)
 - defines a formal specification language
 → ...allows property definition inside the (Haskell) source code

 - allows automatic testing of all properties specified in a module, including failure reports
 - allows tests to be repeated at will

Note

Specification- and test data generator language are...

- Examples of so-called *domain-specific embedded langua-* ges
 - ightsquigarrow ...special strength of functional programming
- implemented as a combinator library in Haskell
 → ...allows to make use of the full expressiveness of Haskell
 when defining properties and test data generators
- Part of the standard Haskell-distribution (both GHC and Hugs) (see module QuickCheck)
- → ...ensures simple and direct usability

Reference

The following presentation is based on...

• Koen Claessen, John Hughes. *Specification-based Testing with QuickCheck*. In Jeremy Gibbons, Oege de Moor (Eds.), *The Fun of Programming*. Palgrave MacMillan, 2003.

For implementation details and applications...

- Koen Claessen, John Hughes. QuickCheck: A Lightweight Tool for Random Testing of Haskell Programs. In Proceedings of the ACM SIGPLAN 2000 International Conference on Functional Programming (ICFP 2000), 268 - 279, 2000.
- Koen Claessen, John Hughes. Testing Monadic Code with QuickCheck. In Proceedings ACM SIGPLAN 2002 Haskell Workshop, 65 - 77, 2002.

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Property Definition w/ QuickCheck 2

Example 2

In the program:

```
prop_PlusAssociative :: Float -> Float -> Float -> Bool prop_PlusAssociative x y z = (x+y)+z == x+(y+z)
```

In Hugs (falsifiable for type Float; think e.g. of rounding errors):

Main>quickCheck prop_PlusAssociative Falsifiable, after 13 tests:

1.0

-5.16667

-3.71429

Note:

The error report contains:

• Number of tests successfully passed

Property Definition w/ QuickCheck 1

In the most basic case properties are defined as predicates, i.e., Boolean valued functions.

Example 1

Inside the program:

```
prop_PlusAssociative :: Integer -> Integer -> Integer -> Bool prop_PlusAssociative x y z = (x+y)+z == x+(y+z)
```

In Hugs:

Main>quickCheck prop_PlusAssociative OK, passed 100 tests

Note:

- Type specification for prop_PlusAssociative is required because of the overloading of + (otherwise error message)
- Type specification allows a type-specific generation of test data

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More Complex Property Definitions w/ QuickCheck 1(3)

Consider as the property to be checked:

...to insert in a sorted list

(we suppose that a function insert and a predicate ordered are given)

The straightforward property definition, however,

prop_InsertOrdered x xs = ordered (insert x xs)

...is falsifiable.

It is too strong/naive (note that xs is not supposed to be sorted).

More Complex Property Definitions w/ QuickCheck 2(3)

Remedy:

```
prop_InsertOrdered :: Integer -> [Integer] -> Property
prop_InsertOrdered x xs = ordered xs ==> ordered (insert x xs)
```

Note:

- ordered xs ==>: Adding a precondition
 - \sim Test data, which do not match the precondition, are dropped
- ==>: ...is not a Boolean operator; it is an operator, which affects the selection of test data
 - \sim Property definitions, which rely on such operators, always have the type Property

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The Operator \$

See Standard Prelude:

```
($) :: (a -> b) -> a -> b
f $ x = f x
```

Note

- The operator \$ is Haskell's infix function application
- It is useful to avoid the usage of parentheses:

Example: f (g x) can be written as f \$ g x

More Complex Property Definitions w/ QuickCheck 3(3)

Another option supported by QuickCheck:

• Direct quantification over sorted lists

Also more sophisticated properties could be specified:

• Refining the specification such that the result list coincides with the argument list (except of the inserted element)

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An Extended Example

...abstract data type for (first-in-first-out) queues.

Simple (yet inefficient) implementation, which serves as *abstract model* – as *reference model* of a queue:

```
type Queue a = [a]
empty = []
add x q = q ++ [x] -- inefficient!
isEmpty q = null q
front (x:q) = x
remove (x:q) = q
```

A More Efficient Implementation

...the implementation of interest. Its basic idea:

- Split the list into two portions (list front and list back)
- Back of the list in reverse order
- → This ensures: Efficient access to list front and list back

```
type QueueI a = ([a],[a])
emptyI = ([],[])
addI x (f,b) = (f,x:b)
isEmptyI (f,b) = null f
frontI (x:f,b) = x
removeI (x:f,b) = flipQ (f,b)
  where
    flipQ ([],b) = (reverse b, [])
    flipQ q = q
```

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List Representations and Represented Abstract Lists: The Relation

...by means of a retrieve function:

```
retrieve :: QueueI Integer -> [Integer]
retrieve (f,b) = f ++ reverse b
```

The function retrieve...

• transforms the (usually many) representations of an abstract list as values of QueueI into the underlying abstract list as values of Queue

The understanding of QueueI and Queue as lists on integers allows us to drop type specifications in the definitions of properties defined next...

In the following

Think of

- Queue and
- QueueI

in terms of

- specification and
- implementation

We now want to check/test if operations of the implementation (QueueI) behave properly according to the operations of the specification (Queue)...

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Soundness Properties for Functions on QueueI

...by means of retrieve we can check, if

• the results of applying the efficient functions on QueueI coincide with those of the abstract functions on Queue

Soundness Properties: 1st Try 1(3)

Apparently, the following properties are expected to hold:

```
prop_empty = retrieve emptyI == empty
prop_add x q = retrieve (addI x q) == add x (retrieve q)
prop_isEmpty q = isEmptyI q == isEmpty (retrieve q)
prop_front q = frontI q == front (retrieve q)
prop_remove q = retrieve (removeI q) == remove (retrieve q)
```

However, this is not true...

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Soundness Properties: 1st Try 3(3)

In fact:

- prop_isEmpty, prop_front, and prop_remove are falsifiable because of this!
- The implementations of isEmptyI, frontI, and removeI implicitly assume that the front of a queue will only be empty if the back also is.

This silent assumption has to be made explicit as invariant...

Soundness Properties: 1st Try 2(3)

E.g., testing prop_isEmpty using QuickCheck yields:

```
Main>quickCheck prop_isEmpty
Falsifiable, after 4 tests:
([],[-1])
```

Problem:

- The specification of isEmpty implicitly assumes that the following *invariant* holds:
 - The front of the list is only empty, if the back of the list is empty, too

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Soundness Properties: 2nd Try 1(2)

We define the following invariant:

```
invariant :: QueueI Integer -> Bool
invariant (f,b) = not (null f) || null b
```

...and add them to all property definitions:

Soundness Properties: 2nd Try 2(2)

Now, testing prop_isEmpty using QuickCheck yields:

```
Main>quickCheck prop_isEmpty
OK, passed 100 tests
```

However, testing prop_front still fails:

```
Main>quickCheck prop_front
Program error: front ([],[])
```

Problem:

• frontI (as well as removeI) may only be applied to nonempty lists. So far, this is not taken into account.

Remedy:

• Add not (isEmptyI q) to the preconditions of the relevant properties

Soundness Properties: Still to be Done

We still need to check:

• Operations producing queues do only produce queues, which satisfy this invariant.

Since so far we only tested:

• Operations on queues behave correctly on representations of queues which satisfy the invariant

```
invariant (f,b) = not (null f) || null b
```

Soundness Properties: Corrected Version

We obtain:

Now

• All properties pass the test successfully!

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Soundness Properties: Towards This

The formulation of appropriate properties for functions producing queues:

Soundness Properties: Still to be Done

Testing by means of QuickCheck yields:

Main>quickCheck prop_inv_add
Falsifiable, after 0 tests:
0
([],[])

Problem:

- The invariant must hold
 - not only after applying removeI,
 - but also after applying addI to the empty list; adding to the back of a gueue breaks the invariant in this case.

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Soundness Properties: Done Now!

To this end:

• Adjust the function addI as follows:

addI x (f,b) = flipQ(f,x:b) -- instead of: addI x (f,b) = (f,x:b)

with flipQ defined previously.

Now

• All properties pass the test successfully!

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Observation

In the course of developing this example it turned out:

- Testing disclosed (only) one bug in the implementation (this was in function addI)
- But: Several missing preconditions and a missing invariant in the original definitions of properties were found and added

Both is typical, and valuable:

- The additional conditions and invariants are now explicitly given in the program text
- They add to understanding the program and are valuable as documentation, both for the program developer and for future users (think of program maintaining!)

Algebraic Specifications

...(often a desired) alternative to the abstract model

An algebraic specification...

provides equational constraints the operations ought to satisfy

Algebraic Specifications

For the example of queues, for instance, as follows:

```
= invariant q ==> isEmptyI q == (q == emptyI)
prop_isEmpty q
prop_front_empty x = frontI (addI x emptyI) == x
prop_front_add x q = invariant q && not (isEmptyI q) ==>
                         frontI (addI x q) == frontI q
prop_remove_empty x = removeI (addI x emptyI) == emptyI
prop_remove_add x q = invariant q && not (isEmptyI q) ==>
                         removeI (addI x q) == addI x (removeI q)
```

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Algebraic Specifications

Testing using QuickCheck yields:

```
Main>quickCheck prop_remove_add
Falsifiable, after 1 tests:
([1],[0])
```

Problem:

- Left hand side yields: ([0,0],[])
- Right hand side yields: ([0],[0])
- Equivalent but not equal!

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Algebraic Specifications

Solution:

• Consider instead of "equal" now "equivalent"

```
q 'equiv' q' = invariant q && invariant q' &&
               retrieve q == retrieve q'
```

Then replacing of

```
prop_remove_add x q = invariant q && not (isEmptyI q) ==>
                         removeI (addI x q) == addI x (removeI q)
bγ
  prop_remove_add x q = invariant q && not (isEmptyI q) ==>
                         removeI (addI x q) 'equiv' addI x (removeI q)
yields the desired result: the test passes successfully.
```

Algebraic Specifications

Similar to the previous setting, we have to check:

• All operations producing queues yield results, which are equivalent, if the arguments are.

Considering the operation addI, for instance, this can be done by:

```
prop_add_equiv q q' x = q 'equiv' q' ==> addI x q 'equiv' addI x q'
```

Algebraic Specifications

Though mathematically sound, the definition of prop_add_equiv is inappropriate for fully automatic testing.

We might observe:

Main>quickCheck prop_add_equiv Arguments exhausted after 58 tests.

Problem and background:

- QuickCheck generates lists q und q' randomly.
- Most of the pairs of lists will not be equivalent, and hence be discarded for the actual test.
- QuickCheck generates a maximum number of candidate arguments only (default: 1.000), and then stops, possibly before the number of 100 test cases is met.

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Quantifications over Subsets

For QuickCheck holds:

• By default, parameters are quantified over values of the appropriate type

Often, however, it is desired:

• A quantification over subsets of these values

Enhancing Usability

...of QuickCheck by providing support for

- Quantification over subsets
 - by means of *filters*
 - by means of *generators* (type-based, weighted, size controlled,...)
- ..
- test case monitoring

In the following:

→ …illustrating this support in terms of examples!

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Quantifications over Subsets

QuickCheck offers several options for this purpose:

- Representation of subsets in terms of *Boolean functions*, which act as a filter for test cases
 - Adequate, if many elements of the underlying set are members of the relevant subset, too.
 - Inadequate, if only a few elements of the underlying set are members of the relevant subset.
- Representation of subsets in terms of *generators*
 - A generator of type Gen a yields a random sequence of values of type a.
 - The property forall set p successively checks p on randomly generated elements of set.

Support by QuickCheck

For the effective usage of generators QuickCheck supports:

- different variants for the specification of relations such as equiv
 - As a Boolean function
 - * simple to check equivalency of two values (but difficult to generate values which are equivalent).
 - As a function from a set of values to another set of equivalent values (generator!)
 - * simple to generate equivalent values (but difficult to check equivalency of two values).

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Generators

This allows us to check that

• generated elements are related, i.e., equivalent

To this end check:

```
prop_EquivQ q = invariant q ==>
  forAll (equivQ q) $ \q' -> q 'equiv' q'
```

Note:

- \$ means function application. Using \$ allows the omission of parentheses, see the λ expression in the example.
- The property which is dual to prop_EquivQ, i.e., that all related elements can be generated, cannot be checked by testing.

Generators

The generator variant for equiv:

Note:

• Definition of choose will be given later

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Generators

This allows:

 Reformulating the property that addI maps equivalent queues to equivalent queues

```
prop_add_equiv q x = invariant q ==>
forAll (equivQ q) $ \q' -> addI x q 'equiv' addI x q'
```

Remark:

Other properties analogously

Next: How to define generators...

Defining Generators

...is simplified because of the monadic type of Gen.

It holds:

- \bullet return a always yields (generates) a and represents the singleton set $\{a\}$
- do do de considered the (generated) set {e | x \in s}

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Defining Generators

The fundamental function to make a choice:

```
choose :: Random a => (a,a) -> Gen a
```

Remark:

- The function choose generates "randomly" an element of the specified domain
- choose (1,n) represents the set {1...n}

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Applying choose

Using choose we can define equivQ (as seen above):

- Generates a random queue containing the same elements as q
- The number of elements in the remainder of the list will be chosen such that it is properly smaller than the total number of elements of the list (supposed the total number is different from 0)

Type-based Generators

...by means of the overloaded generator arbitrary, e.g. for the generation of arguments of properties:

Example:

```
prop_max_le x y = x <= x 'max' y
is equivalent to
prop_max_le = forAll arbitrary $ \x -> forAll arbitrary $ \y ->
    x <= x 'max' y</pre>
```

Type-based Generators

Another example:

```
The set \{y \mid y \ge x \} can be generated by atLeast x = do diff \leftarrow arbitrary return (x + abs diff)
```

because of the equality

$$\{y \mid y \ge x\} = \{x + abs \ d \mid d \in \mathbb{Z}\}\$$

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that holds for numerical types.

Note: Similar definitions for other types are possible.

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Weighted Selection

- The one of combinator picks with equal probability one of the alternatives
- This often has an unduly impact on the test case generation (in the previous example the empty set will be selected too often)
- Remedy: A weight function frequency, which assigns different weights to the alternatives

- A QuickCheck generator corresponds to a probability distribution over a set, not the set itself
- The impact of the above assignment of weights is that on average the length of generated lists is 4

Selection

...between several generators can be achieved by means of a generator oneof, which can be thought of as set union.

Underlying intuition:

• A sorted list is either empty or the addition of a new head element to a sorted list of larger elements

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The Class Arbitrary

If non-standard generators such as orderedLists are used frequently, it is advisable to make this type an instance of Arbitrary:

```
newtype OrderedList a = OL [a]
instance (Num a, Arbitrary a) => Arbitrary(OrderedList a) where
arbitrary = liftM OL orderedLists
```

Together with the re-definition of insert as

```
insert :: Ord a => a -> OrderedList a -> OrderedList a
```

arguments generated for it will automatically be ordered.

Controlling the Size of Generated Test Data

- Often wise for type-based test data generation
- Explicitly supported by QuickCheck

```
Generators that depend on the size can be defined by:
 sized :: (Int -> Gen a) -> Gen a -- For defining size aware gen.
 sized \n \n -> do len <- choose (0,n) -- Application of sized
                  vector len
                                     -- in the Def. of the default
                                      -- list generator
 vector n = sequence [arbitrary | i <- [1..n]] -- generates random list
 resize :: Int -> Gen a -> Gen a -- for controlling the size of
                                 -- generated values
 sized $ \n -> resize (round (sqrt (fromInt n))) arbitrary
         -- Application of resize
```

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Example: Binary Trees

```
Consider type Tree:
```

```
data Tree a = Leaf | Branch (Tree a) a (Tree a)
```

The following definition of the test-case generator is apparent:

```
instance Arbitrary a => Arbitrary (Tree a) where
  arbitrarv =
    frequency [(1,return Leaf),
                (3,liftM3 Branch arbitrary arbitrary arbitrary)]
```

Generators for User-defined Types

Test data generators for...

- predefined ("built-in") types of Haskell
 - are provided by QuickCheck
 - for user-defined types, this is not possible
- user-defined types
 - have to be provided by the user in terms of defining a suitable instance of class Arbitrary
 - require usually, especially in case of recursive types, to control the size of generated test cases

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Example: Binary Trees

Note:

- The assignment of weights (1 vs. 3) has been done in order to avoid the generation of all too many trivial trees of size 1
- Problem: The likelihood that a generator comes up with a finite tree, is only one third
 - → this is because termination is possible only, if all subtrees generated are finite. With increasing breadth of the trees, the requirement of always selecting the "terminating" branch has to satisfied at ever more places simultaneously

Example: Binary Trees

Remedy:

- Usage of the parameter size in order to ensure
 - termination and
 - "reasonable" size

of the trees generated

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Example: Binary Trees

Remark:

- shrub is a generator for "small" trees
- shrub is not bounded to a special tree; the two occurrences of shrub will usually generate different trees
- Since the size limit for subtrees is halved, the total size is bounded by the parameter size
- Defining generators for recursive types must usually be handled specifically as in this example

Example: Binary Trees

Implementation:

Note: shrub is a generator for small(er) trees.

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Test-Data Monitoring / Test Coverage

In practice, it is meaningful...

- to monitor the test cases generated
- in order to obtain a hint on the quality and the coverage of test cases of a QuickCheck run

For this purpose QuickCheck provides...

• an array of monitoring possibilities

Test-Data Monitoring / Test Coverage

Why is test-data monitoring meaningful?

Reconsider the example of inserting into a sorted list:

```
prop_InsertOrdered :: Integer -> [Integer] -> Property
prop_InsertOrdered x xs = ordered xs ==> ordered (insert x xs)
```

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Test-Data Monitoring / Test Coverage

For monitoring QuickCheck provides a...

 combinator trivial, where the meaning of "trivial" is userdefinable

Example:

with

Main>quickCheck prop_InsertOrdered
OK, passed 100 tests (91% trivial)

Test-Data Monitoring / Test Coverage

QuickCheck performs the check of $prop_InsertOrdered$ such that...

- lists are generated randomly
- each generated list will be checked, if it is sorted (used test case) or not (discarded test case)

Obviously, it holds...

• the likelihood that a randomly generated list is sorted is the higher the shorter the list is

This introduces the danger that...

- the property prop_InsertOrdered is mostly tested with lists of length one or two
- even a successful test is not meaningful

Test-Data Monitoring / Test Coverage

Observation:

- 91% are too many trivial test cases in order to ensure that the total test is meaningful
- The operator ==> should be used with care in test-case generators

Remedy:

- User-defined generators
- ightarrow as in the example of prop_InsertOrdered on slide 14

Test-Data Monitoring / Test Coverage

The combinator trivial is...

• instance of a more general combinator classify

```
trivial p = classify p "trivial"
```

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Test-Data Monitoring / Test Coverage

Going beyond, the combinator collect allows to keep track on all test cases:

```
prop_InsertOrdered x xs = ordered xs =>
  collect (length xs) $ ordered (insert x xs)
```

This yields a histogram of values:

Main>quickCheck prop_InsertOrdered OK, passed 100 tests.

46% 0.

34% 1.

15% 2.

5% 3.

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Test-Data Monitoring / Test Coverage

Multiple application of classify allows an even more refined test-case monitoring:

```
prop_InsertOrdered x xs = ordered xs =>
  classify (null xs) "empty lists" $
    classify (length xs == 1) "unit lists" $
    ordered (insert x xs)
```

This yields:

Main>quickCheck prop_InsertOrdered OK, passed 100 tests.
42% unit lists.
40% empty lists.

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Notes on the Implementation of Quick-Check 1(2)

Notes on the Implementation of Quick-Check 2(2)

QuickCheck: In total about 300 lines of code.

For further details check out:

 Koen Claessen, John Hughes. QuickCheck: A Lightweight Tool for Random Testing of Haskell Programs. In Proceedings of the ACM SIGPLAN 2000 International Conference on Functional Programming (ICFP 2000), 268 - 279, 2000.

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Conclusions 2(3)

QuickCheck is an effective tool...

- to disclose bugs in
 - programs and
 - specifications

with little effort.

- to reduce
 - test costs
 - while simultaneously testing more thoroughly

Conclusions 1(3)

Generally, it holds:

• Formalizing specifications is meaningful (even without a subsequent formal proof of soundness)

Experience shows:

Specifications provided are often (initially) faulty themselves

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Conclusions 3(3)

Investigations of Richard Hamlet in...

Richard Hamlet. Random Testing. In J. Marciniak (Ed.), Encyclopedia of Software Engineering, Wiley, 970-978, 1994

suggest that

• a high number of test cases yields meaningful results even in the case of *random testing*

In principle, it holds:

• The generation of random test cases is "cheap"

Hence, there are many reasons advising...

• the routine usage of a tool like QuickCheck!

Further Reading

- Colin Runciman, Matthew Naylor, and Fredrik Lindblad. *SmallCheck and Lazy SmallCheck*. In Proceedings ACM SIGPLAN 2008 Haskell Workshop, 37 - 48, 2008.
 - [Freely available from http://hackage.haskell.org]
- Jan Christiansen, Sebastian Fischer. Easycheck Test Data for Free. In Proceedings of the 9th International Symposium on Functional and Logic Programming (FLPS 2008), LNCS 4989, 322 336, 2008.
- Koen Claessen, Colin Runciman, Olaf Chitil, John Hughes, M. Wallace. Testing and Tracing Lazy Functional Programs Using QuickCheck and Hat. In Proceedings 4th International School on Advanced Functional Programming (AFP 2002), LNCS 2638, 59 - 99, 2002.

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Next course meetings...

We will continue in April after the Easter holiday on...

- Thursday, April 15, 2010, lecture time: 4.15 p.m. to 5.45 p.m., lecture room on the ground floor of the building Argentinierstr. 8
- Thursday, April 22, 2010, lecture time: 4.15 p.m. to 5.45 p.m., lecture room on the ground floor of the building Argentinierstr. 8
- Thursday, April 29, 2010, lecture time: 4.15 p.m. to 5.45 p.m., lecture room on the ground floor of the building Argentinierstr. 8

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